

Topological Field Theory with Haagerup Symmetry

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Abstract

We construct a $(1+1)d$ topological field theory (TFT) whose topological defect lines (TDLs) realize the transparent Haagerup \mathcal{H}_3 fusion category. This TFT has six vacua, and each of the three non-invertible simple TDLs hosts three defect operators, giving rise to a total of 15 point-like operators. The TFT data — three-point functions and lasso diagrams — are determined by solving all the sphere four-point crossing equations and torus one-point modular invariance equations. We further verify that the Cardy states furnish a non-negative integer matrix representation under TDL fusion. Many of the constraints we derive are not limited to the this particular TFT with six vacua, and we leave open the question of whether the Haagerup \mathcal{H}_3 fusion category is realized in TFTs with two or four vacua. Finally, TFTs realizing the Haagerup \mathcal{H}_1 and \mathcal{H}_2 fusion categories can be obtained by gauging algebra objects. This note makes a modest offering in our pursuit of exotica and the quest for their eventual conformity.

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1 Introduction

The best cultivated terrains in the landscape of $(1+1)d$ conformal field theories (CFTs) are rational conformal field theories (RCFTs) [1], free theories, and orbifolds [2, 3] thereof. Exactly marginal deformations of orbifold twist fields bring us into more interesting realms, and when roamed far enough provide candidates with weakly coupled holographic duals. But the full landscape is believed to be vaster. The conformal bootstrap bounds on various quantities such as the twist gap [4–6] are not saturated by known CFTs, and numerical studies of certain renormalization group flows, such as that from the three-coupled three-state Potts model [7], indicate the existence of fixed points with irrational central charges. However, such fixed points are evasive of current analytic methods. Even for RCFTs, a full classification has not been achieved.

The full set of interesting observables in a $(1+1)d$ CFT is not limited to the correlation functions of local operators. There are boundaries and defects that interact with the local operators in nontrivial ways, and are together subject to stringent consistency conditions. Some of the data, like the fusion category [8, 9] furnished by the topological defect lines (TDLs) [10–12], are mathematically rigid structures that exist independently of quantum field theory. A simple example of a fusion category is a group-like category, which consists of the specification of a discrete symmetry group together with its anomaly. Fusion categories generalize symmetries and anomalies, and constrain the deformation space of quantum field theory. The preceding remarks beg the following question:

Q1: *Given a fusion category, is there a $(1+1)d$ CFT whose TDLs (or a subset thereof) realize the said category?*

Physical arguments suggest an affirmative answer. The $(2+1)d$ Turaev-Viro theory [13] or Levin-Wen string-net model [14] constructed out of a fusion category \mathcal{C} is a bulk phase whose anyons are described by the Drinfeld center $\mathcal{Z}(\mathcal{C})$, and whose edge theory is a CFT with TDLs described by \mathcal{C} .¹ From a purely $(1+1)d$ perspective, statistical height models which take \mathcal{C} (and the choice of a distinguished object) as the microscopic input have recently been

¹More precisely, the bulk phase is placed on a slab between a gapped boundary and a free boundary, and the CFT is the edge theory of the free boundary. The authors thank Yifan Wang for a discussion.

shown by Aasen, Fendley, and Mong [15] to host macroscopic TDLs described by \mathcal{C} . If a critical point exists, it would be a statistical construction of the CFT.

A somewhat analogous question is the following:

Q2: *Given a modular tensor category (MTC), is there a vertex operator algebra (VOA) whose representations realize the said category?*

The phrase VOA could be replaced by diagonal RCFT, in which the fusion ring of Verlinde lines (TDLs commuting with the VOA) is isomorphic to the fusion ring of the VOA representations. The correspondence between MTC and $(1+1)d$ RCFT traces its origin to a seminal series of papers by Moore and Seiberg [16–20]. The correspondence is conjectured to be one-to-one, but a construction or proof is lacking. When the MTC of question is the Drinfeld center of a fusion category, the $(2+1)d$ Turaev-Viro theory [13] or Levin-Wen string-net model [14] again provides a construction of a bulk phase whose edge theory should be the sought-after VOA.²

Despite the availability of indirect $(2+1)d$ constructions suggesting affirmative answers to **Q1** and **Q2**, the explicit realization of many categories in CFT is not known. A famous example is the Haagerup fusion category. The Haagerup fusion category has a special place in the history of category and subfactor theory. Subfactors have inherent categorical structure, and serve as a major producer of fusion categories. While Ocneanu [21] and Popa [22] classified subfactors with Jones indices less or equal to 4, Haagerup and Asaeda [23] constructed one — the Haagerup subfactor — with Jones index $\frac{5+\sqrt{13}}{2}$, the smallest above 4 [24]. As the title of [23] suggests, the Haagerup subfactor was deemed *exotic* since its construction at the time did not fit into systematic realizations of infinite families. Later work by Izumi [25], Evans and Gannon [26] postulated that the Haagerup subfactor does fit into an infinite family, and furthermore constructed the first few members. This development suggested that the Haagerup may not be exotic after all. Nonetheless, for various categorical conjectures, the explicit demonstration in the case of Haagerup is viewed as a key test of a conjecture’s legitimacy and generality.

There are actually three inequivalent unitary Haagerup fusion categories, commonly denoted by \mathcal{H}_1 , \mathcal{H}_2 , \mathcal{H}_3 . Most of this note concerns the Haagerup \mathcal{H}_3 fusion category, which did not descend directly from the Haagerup subfactor of [23, 24], but was instead constructed by Grossman and Snyder [27]. Because the fusion ring (reviewed in Section 3.1) is non-commutative, the Haagerup \mathcal{H}_3 fusion category cannot possibly be realized by Verlinde lines [28–31] in diagonal RCFT. To our knowledge, its realization by general TDLs (need not commute with the full VOA) is not known in any CFT. To connect to Verlinde lines, one

²The bulk phase is placed on a slab between two free boundaries. On each boundary, the edge theory is the VOA, and the entire system is the modular invariant CFT. The authors thank Yifan Wang for a discussion.

must consider the MTC that is Drinfeld center of Haagerup. In fact, Evans and Gannon [26] constructed $c = 8$ characters for the Haagerup modular data, and used it to surmise possible constructions of the VOA through the Goddard-Kent-Olive coset construction [32] and its generalizations [33–35], or through the generalized orbifold construction (gauging an algebra object) of Carqueville, Fröhlich, Fuchs, Runkel, and Schweigert [36–38] (see [10] for a recent discussion). Recent attempts and progress at realizing the Haagerup fusion category or its Drinfeld center in CFT have been made by Wolf in [39]. To date, a *bona fide* construction remains an important open problem. By trying to construct CFTs that realize more exotic fusion categories, the hope is that light would be shed beyond the current borders of known (R)CFTs.

Concerning the gapped phases of $(1+1)d$ quantum field theory, described by $(1+1)d$ topological field theories (TFTs) extended by defects [40, 41], a related but simpler question can be asked:³

Q3: *Given a fusion category, is there a $(1+1)d$ TFT whose TDLs (or a subset thereof) realize the said category?*

This question has been constructively answered for special types of fusion categories, namely, group-like categories by Wang, Wen, and Witten [50] and by Tachikawa [11], and categories with fiber functors (the resulting TFT has a unique vacuum) by Thorngren and Wang [51]. For general categories, a construction is not known.

The questions **Q1** and **Q3** are ultimately connected. A CFT realizing a certain fusion category is connected to a TFT realizing the same category under TDL-preserving renormalization group (RG) flows. This principle strongly constrains the infrared fate of CFTs. Had one been able to prove that a TFT realizing a certain fusion category does not exist, then either no such CFT exists, or that they all flow in the space of TDL-preserving RG flows to “dead-end” CFTs [52], corresponding to gapless phases protected by TDLs (fusion category symmetry) [51], which generalizes the notion of symmetry-protected gapless phases [53] and perfect metals [54]. In [12], it was shown that for a variety of CFTs, the TFT data can be solved solely from the input of the fusion categorical data.

This note makes a modest offering in this general pursuit of exotica and the quest for their eventual conformity: the construction of a TFT realizing the Haagerup \mathcal{H}_3 fusion category.

³There are various notions of TFT with different amounts of structure, the most common being closed TFT [42–45] and open/closed TFT [46–49]. The defect TFT of [40, 41] is an overarching formalism that can incorporate multiple closed TFTs and their boundaries and interfaces. The minimal structure that incorporates the data of TDLs is a defect TFT containing a single closed TFT; mathematically speaking, it is a bicategory with a single object, whose 1-morphisms are the TDLs, and whose 2-morphisms are the local and defect operators. The full enrichment by boundaries and interfaces with other closed TFTs is beyond the scope of this note.

This construction is of bootstrap nature, by solving the full cutting and sewing consistency conditions. A prerequisite in this approach is the explicit knowledge of the F -symbols, which were implicit in the work of Grossman and Snyder [27] (using a generalization of the approach by Izumi [25]), and also explicitly obtained by Titsworth [55], Osborne, Stiegemann, and Wolf [56]. In [57], the present authors recast the F -symbols in a gauge that manifests the transparent property. Transparency will greatly simplify our computational endeavor.

The remaining sections are organized into steps of the construction and discussions of further ramifications. Section 2 reviews the generalities of topological field theory extended by defects, formulating the defining data and consistency conditions. Section 3 presents the Haagerup fusion ring with six simple objects/TDLs, studies its representation theory, and constrains the vacuum degeneracy using modular invariance. Section 4 studies the relations among dynamical data implied by transparency and the \mathbb{Z}_3 symmetry. Section 5 delineates the constraints of associativity and torus one-point modular invariance. Section 6 solves the constraints to construct a topological field theory with Haagerup symmetry. Section 7 further examines the expectation that the boundary conditions furnish a non-negative integer matrix representation (NIM-rep) of the fusion ring. Section 8 discusses the relations among topological field theories by gauging algebra objects. Section 9 ends with some prospective questions. Appendix A contains the F -symbols for the Haagerup \mathcal{H}_3 fusion category. Appendix B analyzes the general crossing symmetry of defect operators.

2 Topological field theory extended by defects

This section introduces the defining data of a topological field theory (TFT) extended by defects, and the consistency conditions they must satisfy.

2.1 Fusion category of topological defect lines

The nontrivial splitting and joining relations of a finite set of topological defect lines (TDLs) are captured by a fusion category. A classic introduction to fusion categories can be found in [8, 9], and expositions in the physics context can be found in [10, 12]. Here we follow the latter and present a lightening review of the key properties of TDLs.

Topological defect lines are (generally oriented) defect lines whose isotopic transformations leave physical observables invariant. We restrict ourselves to considering sets of TDLs with finitely many *simple* TDLs $\{\mathcal{L}_i\}$; the others, the *non-simple* TDLs, are direct sums of the simple ones.⁴ Among the simple TDLs there is a trivial TDL \mathcal{I} representing nothingness.

⁴See [58] for progress in incorporating “non-compact” topological defect lines.

Furthermore, every TDL \mathcal{L} has an orientation reversal $\overline{\mathcal{L}}$, as depicted by the equivalence

$$\mathcal{L} \uparrow = \downarrow \overline{\mathcal{L}} . \quad (2.1)$$

Whenever a TDL is isomorphic to its own orientation reversal, $\mathcal{L} = \overline{\mathcal{L}}$, we omit the arrows on the lines.⁵

A general configuration of TDLs involves junctions built out of trivalent vertices. The allowed trivalent vertices are specified by the fusion ring

$$\mathcal{L}_i \mathcal{L}_j = N_{ij}^k \mathcal{L}_k , \quad (2.2)$$

where $N_{ij}^k \in \mathbb{Z}_{\geq 0}$ are the fusion coefficients. To simplify the discussion, it is assumed that (1) the fusion coefficients (dimensions of junction vector spaces) are zero or one, and (2) the trivalent vertices are cyclic-permutation invariant.⁶ In conformity with [12,57], we adopt the counter-clockwise convention for trivalent vertices, such that

$$\begin{array}{c} \mathcal{L}_3 \\ \uparrow \\ \swarrow \quad \searrow \\ \mathcal{L}_1 \quad \mathcal{L}_2 \end{array} \quad (2.3)$$

is allowed when $\mathcal{I} \in \mathcal{L}_1 \mathcal{L}_2 \mathcal{L}_3$. To completely specify a trivalent vertex, a junction vector must be chosen from the junction vector space $V_{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3}$.⁷ The collection of choices for all trivalent vertices formed by all simple TDLs constitutes a gauge.

The fusion product of a simple TDL \mathcal{L} with its orientation reversal contains the trivial TDL,

$$\mathcal{L} \overline{\mathcal{L}} = \mathcal{I} + \cdots , \quad (2.4)$$

because clearly

$$\begin{array}{c} \mathcal{I} \\ \vdots \\ \swarrow \quad \searrow \\ \mathcal{L} \quad \overline{\mathcal{L}} \end{array} = \begin{array}{c} \swarrow \quad \searrow \\ \mathcal{L} \end{array} \quad (2.5)$$

⁵The orientation cannot be completely ignored if the TDL has an orientation-reversal anomaly (nontrivial Frobenius-Schur indicator) [12]. This subtlety does not arise for the Haagerup and is therefore neglected.

⁶Both assumptions are satisfied by the transparent Haagerup \mathcal{H}_3 fusion category. The reader is referred to [12] for a general discussion without these assumptions.

⁷In the path integral language, a junction vector specifies the boundary conditions of quantum fields at a trivalent vertex.

is allowed. Another important notion is *invertibility*. A TDL \mathcal{L} is invertible if $\mathcal{L}\bar{\mathcal{L}} = \mathcal{I}$, and non-invertible otherwise. Invertible TDLs are equivalent to background gauge bundles for finite symmetry groups [59, 10].

The splitting and joining of TDLs can be decomposed into basic F -moves that are characterized by the F -symbols. In a given gauge, the F -symbols are \mathbb{C}^\times -numbers, and an F -move is the equivalence between the two configurations

$$\begin{array}{c} \mathcal{L}_1 \\ \swarrow \\ \mathcal{L}_5 \\ \searrow \\ \mathcal{L}_2 \end{array} \begin{array}{c} \mathcal{L}_4 \\ \swarrow \\ \mathcal{L}_5 \\ \searrow \\ \mathcal{L}_3 \end{array} = \sum_{\mathcal{L}_6} (F_{\mathcal{L}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3})_{\mathcal{L}_5, \mathcal{L}_6} \begin{array}{c} \mathcal{L}_1 \\ \swarrow \\ \mathcal{L}_6 \\ \searrow \\ \mathcal{L}_2 \end{array} \begin{array}{c} \mathcal{L}_4 \\ \swarrow \\ \mathcal{L}_6 \\ \searrow \\ \mathcal{L}_3 \end{array} . \quad (2.6)$$

The F -symbols must satisfy the pentagon identity, which can only have finitely many solutions (up to gauge equivalence) for a given fusion ring due to Ocneanu rigidity [60, 8].

2.2 Local operators and commutative Frobenius algebra

Topological defect lines act on local operators by circling and shrinking. In conformity with [12, 57], we adopt the clockwise convention for action on local operators,

$$\mathcal{L} \left(\begin{array}{c} \circ \\ \mathcal{O} \end{array} \right) = \hat{\mathcal{L}}(\mathcal{O}) . \quad (2.7)$$

For instance, if \mathcal{O}_q is a local operator with \mathbb{Z}_3 -charge q , and if α is the TDL corresponding to the generator of \mathbb{Z}_3 , then

$$\alpha \left(\begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \right) = \omega^q \mathcal{O}_q . \quad (2.8)$$

The data of local operators is captured by a commutative Frobenius algebra [44, 45]. Commutativity guarantees that a projector basis exists:

$$\{ \pi_a, a = 1, \dots, n_V \mid \pi_a \pi_b = \delta_{ab} \pi_a \} , \quad (2.9)$$

where n_V denotes the number of vacua. In this basis, the nontrivial data is captured in the overlap of the projectors with the identity, *i.e.* the one-point functions $\langle \pi_a \rangle$. Most of this note does not work in the projector basis, because for us it is more convenient to work in a basis that simplifies the TDL actions as much as possible. However, the projector basis will figure in the discussion of boundary states in Section 7.

2.3 Defect operators, defect operator algebra, and lassos

Associated to every topological defect line \mathcal{L} is a defect Hilbert space $\mathcal{H}_{\mathcal{L}}$, which contains states quantized on a spatial circle with twisted periodic boundary conditions. Via the state-operator map,

$$\begin{array}{c} \text{Cylinder with } \mathcal{L} \text{ line} \\ |O\rangle \end{array} \mapsto \begin{array}{c} \text{Line with } \mathcal{L} \text{ label} \\ O(x) \end{array} \quad (2.10)$$

$\mathcal{H}_{\mathcal{L}}$ is also the Hilbert space of point-like *defect operators* on which \mathcal{L} can end. Defect Hilbert spaces are equipped with a norm

$$\mathcal{H}_{\mathcal{L}} \otimes \mathcal{H}_{\bar{\mathcal{L}}} \rightarrow \mathbb{C}, \quad (2.11)$$

which defines a hermitian structure. The hermitian conjugate of \mathcal{O} will be denoted by $\bar{\mathcal{O}}$.

The spectral data of a topological field theory extended by defects consists of the set of local operators, their representations under the fusion ring, and the set of defect operators. The dynamical data consists of the operator product

$$\mathcal{O}_1 \otimes \mathcal{O}_2 \in \mathcal{H}_{\bar{\mathcal{L}}_1} \otimes \mathcal{H}_{\bar{\mathcal{L}}_2} \mapsto \begin{array}{c} \mathcal{L}_3 \leftarrow \text{Vertex} \rightarrow \begin{array}{l} \nearrow \mathcal{L}_2 \rightarrow \mathcal{O}_2 \\ \searrow \mathcal{L}_1 \rightarrow \mathcal{O}_1 \end{array} \end{array} \in \mathcal{H}_{\mathcal{L}_3} \quad (2.12)$$

and the lasso action

$$\mathcal{O}_4 \in \mathcal{H}_{\mathcal{L}_4} \mapsto \begin{array}{c} \mathcal{L}_3 \curvearrowright \\ \mathcal{L}_1 \rightarrow \text{Circle} \rightarrow \mathcal{L}_2 \curvearrowleft \\ \mathcal{O}_4 \text{ inside} \end{array} \in \mathcal{H}_{\mathcal{L}_1}. \quad (2.13)$$

When $\mathcal{L}_1 = \mathcal{L}_4 = \mathcal{I}$ and $\mathcal{L}_2 = \bar{\mathcal{L}}_3$, the above diagram becomes (2.7), and the lasso action reduces to the TDL action $\hat{\mathcal{L}}_2$ on local operators that maps \mathcal{H} to \mathcal{H} . The lasso action is a generalization that maps a defect Hilbert space \mathcal{H}_4 to another defect Hilbert space \mathcal{H}_1 .

In the following, for TDLs ending on defect operators, the labeling of the former will be suppressed as it is implied by that of the latter.

The closest analog of charge conservation for a non-invertible TDL \mathcal{L} is to circle a pair of local operators by \mathcal{L} , and impose the commutativity of (1) taking the local operator product and (2) performing an F -move and studying the defect operator product, as illustrated below:

$$\begin{array}{ccc}
 \begin{array}{c} \text{Diagram: An oval labeled } \mathcal{L} \text{ containing two dots labeled } \mathcal{O}_1 \text{ and } \mathcal{O}_2 \end{array} & \longrightarrow & \begin{array}{c} \text{Diagram: An oval labeled } \mathcal{L} \text{ containing a dot labeled } \mathcal{O}_1 \times \mathcal{O}_2 \end{array} \\
 \downarrow & & \downarrow \\
 \sum_{\mathcal{L}'} (F_{\bar{\mathcal{L}}}^{\mathcal{L}, \bar{\mathcal{L}}, \bar{\mathcal{L}}})_{I, \mathcal{L}'} \mathcal{L} \begin{array}{c} \text{Diagram: Two circles labeled } \mathcal{O}_1 \text{ and } \mathcal{O}_2 \text{ connected by a line labeled } \mathcal{L}' \end{array} \mathcal{L} & \xlongequal{\text{"charge conservation"}} & \sum_{\mathcal{O} \in \mathcal{O}_1 \times \mathcal{O}_2} \hat{\mathcal{L}}(\mathcal{O})
 \end{array} \tag{2.14}$$

By the use of the norm, the operator product is equivalently encoded in the three-point coefficients

$$c(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3) = \begin{array}{c} \text{Diagram: A central vertex with three lines extending to dots labeled } \mathcal{O}_1, \mathcal{O}_2, \text{ and } \mathcal{O}_3 \end{array} \in \mathbb{C}, \tag{2.15}$$

and the lasso action is encoded in the lasso coefficients

$$\begin{array}{c} \text{Diagram: A central circle with four dots labeled } \mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3, \text{ and } \mathcal{O}_4 \text{ on its circumference. Arrows labeled } \mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3, \text{ and } \mathcal{L}_4 \text{ connect the dots in a cycle.} \end{array} \in \mathbb{C}. \tag{2.16}$$

In the above, vacuum expectation values are implicitly taken. The three-point coefficients are invariant under cyclic permutations

$$c(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3) = c(\mathcal{O}_2, \mathcal{O}_3, \mathcal{O}_1) = c(\mathcal{O}_3, \mathcal{O}_1, \mathcal{O}_2), \tag{2.17}$$

and complex conjugate under reflections

$$c(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3) = c(\overline{\mathcal{O}_1}, \overline{\mathcal{O}_3}, \overline{\mathcal{O}_2})^*. \tag{2.18}$$

The lasso coefficients also enjoy the symmetries

$$\mathcal{O}_1 \leftarrow \begin{array}{c} \mathcal{L}_3 \\ \circlearrowleft \\ \mathcal{O}_4 \\ \circlearrowright \\ \mathcal{L}_2 \end{array} = \mathcal{O}_4 \leftarrow \begin{array}{c} \bar{\mathcal{L}}_2 \\ \circlearrowleft \\ \mathcal{O}_1 \\ \circlearrowright \\ \bar{\mathcal{L}}_3 \end{array} = \left(\bar{\mathcal{O}}_1 \leftarrow \begin{array}{c} \bar{\mathcal{L}}_3 \\ \circlearrowleft \\ \bar{\mathcal{O}}_4 \\ \circlearrowright \\ \bar{\mathcal{L}}_2 \end{array} \right)^* . \quad (2.19)$$

2.4 General observables, crossing symmetry and modular invariance

A general observable in a topological field theory extended by defects is the vacuum expectation value of a graph — a configuration of topological defect lines with junctions and endpoints — on a Riemann surface.⁸ On the sphere, any graph can be expanded into a sum of local operators, and taking the vacuum expectation value amounts to computing the overlap with the identity. The basic building blocks for this computation are the three-point and lasso coefficients introduced earlier, and the computation also involves basic manipulations of TDLs such as F -moves. Observables on general Riemann surfaces can be reduced to those on the sphere by a pair-of-pants decomposition. The equivalence of the various ways of building the same observable on a general Riemann surface is guaranteed by the four-point crossing symmetry and torus one-point modular invariance [12], generalizing the situation without defects argued by Sonoda [61, 62] and by Moore and Seiberg [16, 19]. In the following, all local and defect operators are taken to be canonically normalized under the hermitian structure,

$$\langle \mathcal{O} \circlearrowleft^{\mathcal{L}} \bar{\mathcal{O}} \rangle = 1 . \quad (2.20)$$

On the sphere, the four-point correlator of local and defect operators $\mathcal{O}_i \in \mathcal{H}_{\mathcal{L}_i}$ bridged by an internal $\mathcal{L} \in \mathcal{L}_1 \mathcal{L}_2 \cap \bar{\mathcal{L}}_4 \bar{\mathcal{L}}_3$ can be decomposed into three-point coefficients by cutting across \mathcal{L} (with the cut shown by the dotted lines),

$$\begin{array}{c} \mathcal{O}_1 \\ \circlearrowleft \\ \mathcal{O}_2 \end{array} \begin{array}{c} \mathcal{L} \\ \circlearrowleft \\ \mathcal{O}_3 \end{array} \begin{array}{c} \mathcal{O}_4 \\ \circlearrowleft \\ \mathcal{O}_3 \end{array} = \sum_{\mathcal{O} \in \mathcal{H}_{\mathcal{L}}} c(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}) c(\mathcal{O}_3, \mathcal{O}_4, \bar{\mathcal{O}}) . \quad (2.21)$$

⁸Each observable can be interpreted as a transition amplitude over some time function, with nontrivial topology changes and defect dressing. See [10] for an exposition from this perspective.

Under an F -move,

$$\begin{array}{c} \mathcal{O}_1 \\ \swarrow \\ \mathcal{L} \\ \searrow \\ \mathcal{O}_2 \end{array} \begin{array}{c} \mathcal{O}_4 \\ \swarrow \\ \mathcal{L} \\ \searrow \\ \mathcal{O}_3 \end{array} = \sum_{\mathcal{L}'} \begin{array}{c} \mathcal{O}_1 \\ \swarrow \\ \mathcal{L}' \\ \searrow \\ \mathcal{O}_2 \end{array} \begin{array}{c} \mathcal{O}_4 \\ \swarrow \\ \mathcal{L}' \\ \searrow \\ \mathcal{O}_3 \end{array} (F_{\bar{\mathcal{L}}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3})_{\mathcal{L}, \mathcal{L}'}, \quad (2.22)$$

where each graph appearing on the right can be decomposed into three-point coefficients by cutting across \mathcal{L}' . Crossing symmetry is the equivalence of

$$\sum_{\mathcal{O} \in \mathcal{H}_{\mathcal{L}}} c(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}) c(\mathcal{O}_3, \mathcal{O}_4, \bar{\mathcal{O}}) = \sum_{\mathcal{L}'} (F_{\bar{\mathcal{L}}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3})_{\mathcal{L}, \mathcal{L}'} \sum_{\mathcal{O}' \in \mathcal{H}_{\mathcal{L}'}} c(\mathcal{O}_2, \mathcal{O}_3, \mathcal{O}') c(\mathcal{O}_4, \mathcal{O}_1, \bar{\mathcal{O}}'). \quad (2.23)$$

The modular invariance of the torus one-point function begins with performing F -moves on the configuration

$$\begin{array}{c} \mathcal{L}_1 \\ \swarrow \\ \mathcal{L}_4 \\ \searrow \\ \mathcal{O} \end{array} \begin{array}{c} \mathcal{L}_2 \\ \swarrow \\ \mathcal{L}_3 \\ \searrow \\ \mathcal{L}_1 \end{array} = \sum_{\mathcal{L}' \in \mathcal{L}_{\mathcal{O}} \bar{\mathcal{L}}_1} (F_{\bar{\mathcal{L}}_2}^{\bar{\mathcal{L}}_4, \mathcal{L}_{\mathcal{O}}, \bar{\mathcal{L}}_1})_{\mathcal{L}_3, \mathcal{L}'} \begin{array}{c} \mathcal{L}_1 \\ \swarrow \\ \mathcal{L}_4 \\ \searrow \\ \mathcal{O} \end{array} \begin{array}{c} \mathcal{L}_2 \\ \swarrow \\ \mathcal{L}' \\ \searrow \\ \mathcal{L}_1 \end{array} \\ = \sum_{\mathcal{L}' \in \bar{\mathcal{L}}_2 \mathcal{L}_{\mathcal{O}}} (F_{\mathcal{L}_3}^{\mathcal{L}_1, \bar{\mathcal{L}}_2, \mathcal{L}_{\mathcal{O}}})_{\bar{\mathcal{L}}_4, \mathcal{L}'} \begin{array}{c} \mathcal{L}_1 \\ \swarrow \\ \mathcal{L}' \\ \searrow \\ \mathcal{O} \end{array} \begin{array}{c} \mathcal{L}_2 \\ \swarrow \\ \mathcal{L}_3 \\ \searrow \\ \mathcal{L}_1 \end{array} \quad (2.24)$$

and demanding the equivalence of the two cuts shown by the dotted lines:

$$\begin{aligned}
& \sum_{\mathcal{L}' \in \mathcal{L}_{\mathcal{O}} \bar{\mathcal{L}}_1} \sum_{\mathcal{O}_1 \in \mathcal{H}_{\mathcal{L}_1}} \sum_{\mathcal{O}' \in \mathcal{H}_{\mathcal{L}'}} (F_{\bar{\mathcal{L}}_2}^{\bar{\mathcal{L}}_4, \mathcal{L}_{\mathcal{O}}, \bar{\mathcal{L}}_1})_{\mathcal{L}_3, \mathcal{L}'} c(\mathcal{O}, \bar{\mathcal{O}}_1, \bar{\mathcal{O}}') \mathcal{O}_1 \leftarrow \begin{array}{c} \bar{\mathcal{L}}_4 \\ \circlearrowleft \\ \mathcal{O}' \\ \circlearrowright \\ \mathcal{L}_2 \end{array} \\
&= \sum_{\mathcal{L}' \in \bar{\mathcal{L}}_2 \mathcal{L}_{\mathcal{O}}} \sum_{\mathcal{O}_2 \in \mathcal{H}_{\mathcal{L}_2}} \sum_{\mathcal{O}' \in \mathcal{H}_{\mathcal{L}'}} (F_{\bar{\mathcal{L}}_3}^{\mathcal{L}_1, \bar{\mathcal{L}}_2, \mathcal{L}_{\mathcal{O}}})_{\bar{\mathcal{L}}_4, \mathcal{L}'} c(\mathcal{O}, \bar{\mathcal{O}}_2, \bar{\mathcal{O}}') \mathcal{O}_2 \leftarrow \begin{array}{c} \bar{\mathcal{L}}_3 \\ \circlearrowleft \\ \mathcal{O}' \\ \circlearrowright \\ \mathcal{L}_1 \end{array} .
\end{aligned} \tag{2.25}$$

3 Spectral constraints by Haagerup symmetry

This section studies the modular constraints on the spectral data — the set of local operators, their representations under the fusion ring, and the set of defect operators — when the theory is known to contain topological defect lines (TDLs) realizing the Haagerup \mathcal{H}_3 fusion category.

3.1 The Haagerup fusion ring with six simple objects

The Haagerup \mathcal{H}_3 fusion category was constructed by Grossman and Snyder [27] as a variant (Grothendieck equivalent) of the \mathcal{H}_2 fusion category that directly came from the Haagerup subfactor [23, 24]. It has six simple objects/TDLs, which we denote by

$$\mathcal{I}, \quad \alpha, \quad \alpha^2, \quad \rho, \quad \alpha\rho, \quad \alpha^2\rho. \tag{3.1}$$

The fusion ring is fully specified by the relations

$$\alpha^3 = 1, \quad \alpha\rho = \rho\alpha^2, \quad \rho^2 = \mathcal{I} + \mathcal{Z}, \quad \mathcal{Z} \equiv \sum_{i=0}^2 \alpha^i \rho. \tag{3.2}$$

For shorthand,

$$\rho_i \equiv \alpha^i \rho. \tag{3.3}$$

In the rest of this note, we use unoriented solid lines to denote the non-invertible self-dual simple TDLs ρ_i , and oriented dashed lines to denote the invertible ones:

$$\begin{array}{c} \vdots \\ \uparrow \end{array} = \begin{array}{c} \mid \\ \uparrow \end{array} \alpha, \quad \begin{array}{c} \vdots \\ \downarrow \end{array} = \begin{array}{c} \mid \\ \uparrow \end{array} \bar{\alpha}, \quad \begin{array}{c} \mid \\ \mid \\ \mid \end{array} \rho_i. \tag{3.4}$$

There are two gauge-inequivalent unitary fusion categories realizing the above fusion ring, denoted \mathcal{H}_2 and \mathcal{H}_3 by Grossman and Snyder [27]. Whereas the Haagerup \mathcal{H}_2 fusion category descended directly from the Haagerup subfactor [23, 24], the Haagerup \mathcal{H}_3 fusion category was constructed by Grossman and Snyder [27] based on \mathcal{H}_2 . It turns out to be easier to work with \mathcal{H}_3 , but the analysis in this section applies to both \mathcal{H}_2 and \mathcal{H}_3 . The F -symbols for \mathcal{H}_3 were implicit in the work of Grossman and Snyder [27] (using a generalization of the approach by Izumi [25] for \mathcal{H}_2), and also explicitly obtained by Titsworth [55], Osborne, Stiegemann, and Wolf [56]. In [57], the present authors recast the F -symbols in a gauge that manifests the transparent property, a notion we introduce in Section 4. The transparent F -symbols are given in Appendix A.

3.2 Action on local operators and representation theory

To describe how topological defect lines forming the Haagerup \mathcal{H}_3 fusion category act on local operators, we should first study the complex representation theory of its fusion ring. Since the fusion ring is non-commutative, the action of TDLs cannot be simultaneously diagonalized. We work in a basis in which the action of \mathbb{Z}_3 is diagonal.

- For a state $|\phi\rangle$ neutral under \mathbb{Z}_3 ,

$$\rho|\phi\rangle = \alpha\rho|\phi\rangle = \alpha^2\rho|\phi\rangle, \quad \mathcal{Z}|\phi\rangle = 3\rho|\phi\rangle, \quad (3.5)$$

hence there are two one-dimensional representations,

$$\rho|\phi\rangle = \frac{3 \pm \sqrt{13}}{2}|\phi\rangle. \quad (3.6)$$

- For a state $|\phi\rangle$ with unit \mathbb{Z}_3 -charge,

$$\alpha|\phi\rangle = \omega|\phi\rangle, \quad \alpha\rho|\phi\rangle = \rho\alpha^2|\phi\rangle = \omega^2\rho|\phi\rangle, \quad \alpha^2\rho|\phi\rangle = \rho\alpha|\phi\rangle = \omega\rho|\phi\rangle. \quad (3.7)$$

It follows that $\mathcal{Z}|\phi\rangle = 0$, and hence

$$\rho^2|\phi\rangle = |\phi\rangle. \quad (3.8)$$

If $\rho|\phi\rangle$ and $|\phi\rangle$ were equal up to a phase, then there would be two possible one-dimensional representations with

$$\rho|\phi\rangle = \pm|\phi\rangle, \quad (3.9)$$

which is in conflict with $\alpha\rho = \rho\alpha^2$. Hence $\rho|\phi\rangle$ and $|\phi\rangle$ must be independent, and the representation is two-dimensional. In the $(|\phi\rangle, \rho|\phi\rangle)$ basis,

$$\alpha = \begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}, \quad \rho = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (3.10)$$

The above classification of irreducible representations is summarized in Table 1. In a reflection-positive quantum field theory, the identity operator transforms in a one-dimensional representation with positive charges. Here, under the reflection-positive assumption, the identity operator must transform in the $+$ representation.

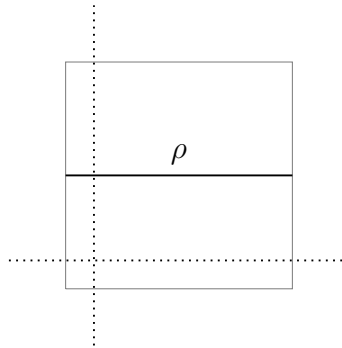
\mathbf{r}	α	ρ
$+$	1	$\frac{3+\sqrt{13}}{2}$
$-$	1	$\frac{3-\sqrt{13}}{2}$
$\mathbf{2}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

Table 1: Irreducible representations of the Haagerup fusion ring with six simple objects/TDLs.

3.3 Modular invariance and vacuum degeneracy

Let n_V denote the number of vacua (local operators), and n_{\pm} and $n_{\mathbf{2}}$ be their multiplicities of representations (in the notation of Table 1). Clearly, $n_V = n_+ + n_- + 2n_{\mathbf{2}}$.

Consider the modular invariance of the torus partition function with the non-invertible TDL ρ wrapped around a one-cycle


(3.11)

The horizontal cut computes the trace over the action of $\hat{\rho}$ in the Hilbert space \mathcal{H} of local operators, and the vertical cut simply counts the dimensionality of the defect Hilbert space \mathcal{H}_ρ . Modular invariance requires

$$\mathrm{Tr}_{\mathcal{H}} \hat{\rho} = \mathrm{Tr}_{\mathcal{H}_\rho} 1 \in \mathbb{Z}_{\geq 0} . \quad (3.12)$$

Given the representation content of the Haagerup fusion ring, summarized in Table 1, we immediately conclude that $n_+ = n_-$, and the number of vacua must be even. Let us write

$$n_{\mathbf{1}} \equiv n_+ = n_- \quad (3.13)$$

n_V	$n_1 = n_+ = n_-$	n_2	$n_\alpha = n_{\bar{\alpha}}$	$n_\rho = n_{\alpha\rho} = n_{\alpha^2\rho}$	n_P
2	1	0	2	3	15
4	1	1	1	3	15
4	2	0	1	6	30
6	1	2	0	3	15
6	2	1	0	6	30
6	3	0	0	9	45

Table 2: Possible numbers of point-like operators that satisfy the torus one-point modular invariance (3.11) and (3.16). Here n_V denotes the total number of vacua (local operators), comprised of $n_{\mathbf{r}}$ copies of representation \mathbf{r} , where $\mathbf{r} = +, -, \mathbf{2}$; $n_{\mathcal{L}}$ denotes the number of defect operators in *each* \mathcal{L} , for $\mathcal{L} = \alpha, \bar{\alpha}, \rho, \alpha\rho, \alpha^2\rho$; n_P denotes the total number of point-like (local and defect) operators. Only the highlighted cases with $n_1 = 1, n_\rho = 3, n_P = 15$ are considered in this note.

to denote the multiplicity of each one-dimensional representation. Using the $U(n_2)$ freedom, we can choose a basis of local operators to represent $\hat{\rho}$ in block diagonal form

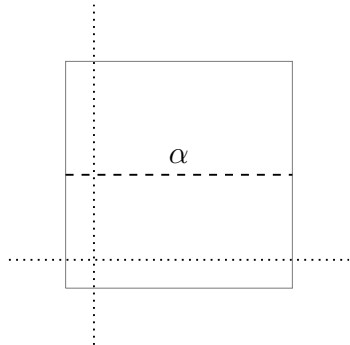
$$\hat{\rho} = \bigoplus_{p=1}^{n_+} \left(\frac{3 + \sqrt{13}}{2} \right) \oplus \bigoplus_{q=1}^{n_-} \left(\frac{3 - \sqrt{13}}{2} \right) \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \oplus \cdots \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (3.14)$$

Modular invariance (3.12) also implies that the defect Hilbert space \mathcal{H}_ρ is $3n_1$ -dimensional, *i.e.* the TDL ρ can end on

$$n_\rho = 3n_1 \quad (3.15)$$

independent defect operators. And similarly for each of the other ρ_i .

Consider the modular invariance of the torus partition function with the invertible TDL α wrapped around a one-cycle



$$(3.16)$$

Modular invariance requires

$$\text{Tr}_{\mathcal{H}} \hat{\alpha} = \text{Tr}_{\mathcal{H}_\alpha} 1 \in \mathbb{Z}_{\geq 0}. \quad (3.17)$$

Hence the α TDL hosts

$$n_\alpha = 2n_1 - n_2 \quad (3.18)$$

defect operators. The total number of point-like operators is

$$n_P \equiv g + 2n_\alpha + 3n_\rho = (2n_1 + 2n_2) + 2(2n_1 - n_2) + 9n_1 = 15n_1. \quad (3.19)$$

The first few possibilities are listed in Table 2 in the order of increasing n_V . Whenever $n_2 = 0$, the \mathbb{Z}_3 symmetry is not faithfully realized on the vacua. In the following, we consider the three minimal cases totaling $n_P = 15$ point-like operators, highlighted in Table 2; each case has $n_1 = 1$ and $n_\rho = 3$. Eventually we will succeed in constructing a TFT realizing $n_V = 6$, but along the way we also derive various constraints on $n_V = 2, 4$.

4 Transparency and \mathbb{Z}_3 symmetry

This note works in a gauge of the \mathcal{H}_3 fusion category that manifests its “transparent” property [57] — the associator involving any invertible topological defect line (TDL) is the identity morphism. In terms of the F -symbols, it means that every F -symbol with an external invertible TDL takes value one. Hence invertible TDLs can be attached or detached “freely”, changing the isomorphism classes of other involved TDLs but without generating extra F -symbols. Several diagrammatic identities are illustrated below:

$$\begin{aligned}
(a) \quad & \begin{array}{c} \rho_i \\ \rho_{i+1} \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \curvearrowright \text{---} \\ \text{---} \end{array} \right. = \begin{array}{c} \rho_i \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \quad (b) \quad \begin{array}{c} \rho_i \\ \rho_{i+1} \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \curvearrowright' \text{---} \\ \text{---} \end{array} \right. = \begin{array}{c} \rho_i \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \begin{array}{c} \text{---} \\ \text{---} \end{array} \\
(c) \quad & \begin{array}{c} \rho_i \\ \rho_{i+1} \\ \rho_{i+2} \end{array} \left| \begin{array}{c} \text{---} \curvearrowright \text{---} \\ \text{---} \end{array} \right. = \begin{array}{c} \rho_i \\ \rho_{i+2} \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} \quad (d) \quad \begin{array}{c} \rho_i \\ \rho_j \\ \rho_k \end{array} \left| \begin{array}{c} \text{---} \curvearrowright \text{---} \\ \text{---} \end{array} \right. \begin{array}{c} \rho_{j+1} \\ \rho_{k+1} \end{array} = \begin{array}{c} \rho_i \\ \rho_{j+1} \\ \rho_{k+1} \end{array} \\
(e) \quad & \begin{array}{c} \rho_i \\ \rho_{i+1} \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} = \begin{array}{c} \rho_i \\ \rho_{i-1} \\ \rho_{i+1} \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} = \begin{array}{c} \rho_i \\ \rho_{i-1} \\ \rho_{i+1} \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} \\
(f) \quad & \begin{array}{c} \rho_i \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} = \begin{array}{c} \rho_i \\ \rho_{i+1} \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---} = \begin{array}{c} \rho_i \\ \rho_{i-1} \\ \rho_i \end{array} \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right. \text{---}
\end{aligned} \quad (4.1)$$

Importantly, the four-way junctions in (e) and (f) are unambiguously defined.

In [57], transparency and the \mathbb{Z}_3 symmetry were exploited to reduce the pentagon identity so that the F -symbols could be efficiently solved. Below, in attempting to construct a topological field theory, the utilization of the \mathbb{Z}_3 symmetry is also essential in reducing the amount of independent data.

4.1 \mathbb{Z}_3 relations for lassos and dumbbells

Let \mathcal{O}_q be a local operator with \mathbb{Z}_3 -charge $q \in \{0, \pm 1\}$, and consider the lasso

$$\rho_j - \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) \rho_i . \quad (4.2)$$

The \mathbb{Z}_3 symmetry relates lassos with different triples (q, i, j) as follows: replace \mathcal{O}_q using the equalities

$$\mathcal{O}_q = \omega^q \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) = \omega^{-q} \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) \quad (4.3)$$

and fuse the \mathbb{Z}_3 symmetry line with ρ_i (apply (4.1)(b) and then (d)) to obtain the relations

$$\rho_j - \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) \rho_i = \omega^q \rho_j - \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) \rho_{i-1} = \omega^{-q} \rho_j - \text{---} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_q \end{array} \text{---} \right) \rho_{i+1} . \quad (4.4)$$

Next consider the dumbbell

$$\rho_i \left(\text{---} \begin{array}{c} \circ \end{array} \right) \text{---} \rho_j \left(\text{---} \begin{array}{c} \circ \end{array} \right) \rho_k , \quad (4.5)$$

where each empty dot denotes an arbitrary local operator insertion. The \mathbb{Z}_3 action on the dumbbell (circling it with a clockwise \mathbb{Z}_3 loop) gives (see (4.1)(e) for the meaning of the

four-way junction)

$$\begin{aligned}
 & \text{(Diagram 1)} = \text{(Diagram 2)} \\
 & = \text{(Diagram 3)}
 \end{aligned} \tag{4.6}$$

Combining (4.4) and (4.6), we obtain an identity that leaves the side loops intact and only changes the handle

$$\text{(Diagram 1)} = \omega^{-q_1 - q_2} \text{(Diagram 2)} , \tag{4.7}$$

which will prove useful in Section 5.3. A mnemonic is that the \mathbb{Z}_3 symmetry line measures the *opposite* \mathbb{Z}_3 -charge of the local operators \mathcal{O}_{q_1} and \mathcal{O}_{q_2} placed inside a dumbbell, because the \mathbb{Z}_3 symmetry line changes orientation when it crosses a ρ_i TDL, as illustrated in (4.1)(f).

4.2 \mathbb{Z}_3 action on defect operators

Recall that each ρ_i TDL hosts three independent defect operators. We work in an orthonormal basis and denote them by

$$o_{iA} , \quad i = 0, 1, 2, \quad A = 1, 2, 3, \quad \text{with} \quad \langle o_{iA} o_{jB} \rangle = \delta_{ij} \delta_{AB} . \tag{4.8}$$

Note that there is still an $O(3)^3$ basis freedom. The \mathbb{Z}_3 action on a defect operator $o_i \in \mathcal{H}_{\rho_i}$ is defined by the lasso (see (4.1)(e) for the meaning of the four-way junction)

$$\text{(Diagram 1)} = \text{(Diagram 2)} = \text{(Diagram 3)} , \tag{4.9}$$

where in the last diagram, the left and right edges of the square are identified to represent a cylinder. Performing the \mathbb{Z}_3 action three times on \mathcal{H}_{ρ_i} becomes a trivial action, as illustrated by the sequence of F -moves

$$\begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline \end{array} = \begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline \end{array} = \begin{array}{|c|} \hline \\ \hline \end{array} . \quad (4.10)$$

We make use of the $O(3)^2 \subset O(3)^3$ basis freedom such that the lasso (4.9) representing the \mathbb{Z}_3 action takes

$$\mathbb{Z}_3 : \quad o_{1A} \rightarrow o_{2A} \rightarrow o_{3A} \rightarrow o_{1A} . \quad (4.11)$$

The \mathbb{Z}_3 action also gives rise to relations among the dynamical data. For instance, consider the \mathbb{Z}_3 action on the operator product of o_{iA} and o_{iB}

$$\begin{array}{c} o_{iA} \\ | \\ o_{iB} \end{array} = \begin{array}{c} o_{iB} \\ | \\ o_{iA} \end{array} . \quad (4.12)$$

If the vacuum expectation value is taken, possibly in the presence of other local operators, the \mathbb{Z}_3 symmetry line can be deformed to shrink in some other patch while picking up the \mathbb{Z}_3 -charges of other local operators. This process gives rise to identities among correlators. Similarly, the \mathbb{Z}_3 action

$$\begin{array}{c} o_{iA} \quad o_{kB} \quad o_{jC} \end{array} = \begin{array}{c} o_{iA} \quad o_{kB} \quad o_{jC} \end{array} = \begin{array}{c} o_{iA} \quad o_{kB} \quad o_{jC} \end{array} \quad (4.13)$$

implies identities among different three-point coefficients, when the sphere vacuum expectation value is taken.

We can nucleate \mathbb{Z}_3 loops inside or outside a lasso to change the species of the ρ_i TDLs, resulting in the relations

$$\begin{aligned}
o_{jB} \circ \text{---} \bigcirc \text{---} \rho_i &= o_{j+1,B} \circ \text{---} \bigcirc \text{---} \rho_{i-1} = \omega^q o_{jB} \circ \text{---} \bigcirc \text{---} \rho_{i-1} , \\
o_{jB} \circ \text{---} \bigcirc \text{---} \rho_\ell &= o_{j+1,B} \circ \text{---} \bigcirc \text{---} \rho_{\ell-1} = o_{jB} \circ \text{---} \bigcirc \text{---} \rho_{\ell-1} .
\end{aligned} \tag{4.14}$$

5 Bootstrap constraints

Given the spectral constraints derived in Section 3, our goal now is to solve for a minimal defect topological field theory (TFT) with a total of $n_P = 15$ point-like operators, and the number of vacua (local operators) can be $n_V = 2, 4, 6$. For each case, there is one nontrivial \mathbb{Z}_3 -neutral local operator v and three defect operators o_{iA} on each ρ_i line. The remaining four point-like operators can be two pairs of \mathbb{Z}_3 -charged local operators u_a, \bar{u}_a , two pairs of \mathbb{Z}_3 defect operators $w_a \in \mathcal{H}_\alpha, \bar{w}_a \in \mathcal{H}_{\alpha^2}$, or a pair of each.

In this section, we delineate constraints of crossing symmetry and modular invariance that were formulated in generality in Section 2.4. For simplicity, we ignore the constraints involving \mathbb{Z}_3 defect operators w_a, \bar{w}_a , and only consider the part of crossing symmetry that is equivalent to the associativity involving at least one local operator. More general crossing symmetry is deferred to Appendix B.

We reserve the $i = 0, 1, 2$ index for the species of the ρ_i line, the $A = 1, 2, 3$ index for the species of the defect operators of each ρ_i line, and the $a = 1, \dots, n_2$ index for the species of \mathbb{Z}_3 -charged local operators. Note that the \mathbb{Z}_3 -charged operators u_a, \bar{u}_a have a $U(n_2)$ basis freedom.

5.1 Local operator algebra and associativity

The most general local operator algebra consistent with the \mathbb{Z}_3 symmetry is

$$\begin{aligned}
v \times v &= 1 + \beta v, \quad v \times u_a = \sum_b \xi_{ab} u_b, \\
u_a \times \bar{u}_b &= \delta_{ab} + \xi_{ab} v, \quad u_a \times u_b = \sum_c \sigma_{abc} \bar{u}_c.
\end{aligned} \tag{5.1}$$

The following are the constraints from associativity.

- $\underline{u_a u_b u_c}$

$$\begin{aligned}\sigma_{abc} &= \sigma_{bca}, \quad \sum_d \sigma_{abd} \xi_{ed} = \sum_d \sigma_{bcd} \xi_{ad}, \\ \sum_e \sigma_{abe} \sigma_{cde} &= \sum_e \sigma_{ade} \sigma_{bce} = \sum_e \sigma_{ace} \sigma_{bde}.\end{aligned}\tag{5.2}$$

Hence σ_{abc} is totally symmetric.

- $\underline{u_a \bar{u}_b v}$

$$\xi_{ab} = \bar{\xi}_{ba}, \quad \delta_{ab} + \beta \xi_{ab} = \sum_c \xi_{ac} \bar{\xi}_{bc} = \sum_c \bar{\xi}_{bc} \xi_{ac},\tag{5.3}$$

The first condition says that ξ_{ab} is Hermitian, which allows us to use the $U(n_2)$ basis freedom to diagonalize ξ_{ab} . Then the second condition, which also encompasses the associativity of $\underline{u_a v v}$, is solved by

$$\xi_{ab} = \xi_a \delta_{ab}, \quad \xi_a = \frac{\beta \pm \sqrt{\beta^2 + 4}}{2}.\tag{5.4}$$

- $\underline{u_a u_b v}$

$$\sum_c \sigma_{abc} \bar{\xi}^{cd} = \sum_c \xi_{bc} \sigma_{acd} = \sum_c \xi_{ac} \sigma_{bcd}.\tag{5.5}$$

- $\underline{u_a u_b \bar{u}_c}$

$$\sum_d \sigma_{abd} \bar{\sigma}_{dce} = \delta_{bc} \delta_{ae} + \xi_{bc} \xi_{ae}.\tag{5.6}$$

In the special case of $a = e$ and $b = c$,

$$\sum_d \sigma_{abd} \bar{\sigma}_{dba} = 1 + \xi_a \xi_b.\tag{5.7}$$

5.2 Mixed local and ρ defect operators

The most general operator algebra involving mixed local and ρ defect operators is

$$\begin{aligned}o_{iA} \circ_{\rho_i} o_{iB} &= \delta_{AB} + \kappa_{AB}^i v + \sum_a (\bar{\lambda}_{AB;a}^i u_a + \lambda_{AB;a}^i \bar{u}_a), \\ \circ_{\rho_i} o_{iA} v &= \sum_B \kappa_{AB}^i \circ_{\rho_i} o_{iB}, \quad \circ_{\rho_i} o_{iA} u_a = \sum_B \lambda_{AB;a}^i \circ_{\rho_i} o_{iB},\end{aligned}\tag{5.8}$$

where κ_{AB}^i and $\lambda_{AB;a}^i$ are both symmetric in A, B , and the \mathbb{Z}_3 action (4.13) implies that

$$\kappa_{AB}^{i+1} = \kappa_{AB}^i, \quad \lambda_{AB;a}^{i+1} = \omega^{-1} \lambda_{AB;a}^i.\tag{5.9}$$

The following are the constraints from associativity.

- $\underline{o_{iA}o_{iB}v}$

$$\begin{aligned}
& o_{iA} \xrightarrow{\rho_i} o_{iB} v \\
&= \delta_{AB}v + \kappa_{AB}^i(1 + \beta v) + \sum_{a,b} (\bar{\lambda}_{AB;b}^i \xi_{ba} u_a + \lambda_{AB;b}^i \bar{\xi}_{ba} \bar{u}_a) \\
&= \kappa_{AB}^i + \left(\sum_C \kappa_{AC}^i \kappa_{BC}^i \right) v + \sum_C \kappa_{AC}^i \sum_a (\bar{\lambda}_{BC;a}^i u_a + \lambda_{BC;a}^i \bar{u}_a).
\end{aligned} \tag{5.10}$$

Hence,

$$\sum_C \kappa_{AC}^i \kappa_{BC}^i = \delta_{AB} + \beta \kappa_{AB}^i, \tag{5.11}$$

which also encompasses the associativity of $\underline{o_{iA}vv}$, and

$$\sum_C \kappa_{AC}^i \lambda_{BC;a}^i = \sum_b \lambda_{AB;b}^i \bar{\xi}_{ba}. \tag{5.12}$$

- $\underline{o_{iA}o_{iB}u_a}$

$$\begin{aligned}
& o_{iA} \xrightarrow{\rho_i} o_{iB} u_a \\
&= \delta_{AB}u_a + \sum_b \kappa_{AB}^i \xi_{ab} u_b + \sum_{b,c} \bar{\lambda}_{AB;b}^i \sigma_{abc} \bar{u}_c + \lambda_{AB;a}^i + \sum_b \lambda_{AB;b}^i \xi_{ab} v \\
&= \lambda_{AB;a}^i + \left(\sum_C \lambda_{AC;a}^i \kappa_{BC}^i \right) v + \sum_C \lambda_{AC;a}^i \sum_b (\bar{\lambda}_{BC;b}^i u_b + \lambda_{BC;b}^i \bar{u}_b).
\end{aligned} \tag{5.13}$$

Hence,

$$\sum_C \lambda_{AC;a}^i \bar{\lambda}_{BC;b}^i = \delta_{AB} \delta_{ab} + \kappa_{AB}^i \xi_{ab}, \quad \sum_C \lambda_{AC;a}^i \lambda_{BC;b}^i = \sum_c \bar{\lambda}_{AB;c}^i \sigma_{abc}. \tag{5.14}$$

5.3 ρ action on local operators

Let us study the analog of charge conservation (2.14) for the non-invertible TDLs ρ_i . We will constrain the ρ_i action on local operators,

$$\begin{aligned}
\left(\begin{array}{c} \circ \\ 1 \end{array} \right) \rho_i &= \zeta, & \left(\begin{array}{c} \circ \\ v \end{array} \right) \rho_i &= -\zeta^{-1}v, & \left(\begin{array}{c} \circ \\ u_a \end{array} \right) \rho_i &= \omega^i \sum_b R_{ab} \bar{u}_b,
\end{aligned} \tag{5.15}$$

and the lassos on local operators,

$$\varepsilon_A^i \equiv o_{iA} \text{---} \left(\text{---} \begin{array}{c} \circ \\ v \end{array} \text{---} \right) \rho_i \ , \quad \gamma_{aA}^i \equiv o_{iA} \text{---} \left(\text{---} \begin{array}{c} \circ \\ u_a \end{array} \text{---} \right) \rho_i \ . \quad (5.16)$$

The \mathbb{Z}_3 action relations (4.14) imply that

$$\varepsilon_A^{i+1} = \omega^{-i} \varepsilon_A^i, \quad \gamma_{aA}^{i+1} = \omega^{-i} \gamma_{aA}^i. \quad (5.17)$$

Note that in writing (5.4), we already used the $U(n_2)$ freedom to diagonalize the operator product $u_a \bar{u}_b$, so we can no longer use it to simplify R_{ab} . In the following, we make frequent use of the explicit values of the F -symbols

$$(F_{\rho_i}^{\rho_i, \rho_i, \rho_i})_{\mathcal{I}, \mathcal{I}} = \zeta^{-1}, \quad (F_{\rho_i}^{\rho_i, \rho_i, \rho_i})_{\mathcal{I}, \rho_j} = \zeta^{-1}, \quad \zeta \equiv \frac{3 + \sqrt{13}}{2}. \quad (5.18)$$

First, let us revisit the requirement that u_a transforms as a representation of the fusion ring.⁹ The consideration of

$$\left(\text{---} \begin{array}{c} \circ \\ u_a \end{array} \text{---} \right) \rho \text{---} \rho = u_a + \sum_i \left(\text{---} \begin{array}{c} \circ \\ u_a \end{array} \text{---} \right) \rho_i \quad (5.19)$$

leads to a constraint

$$\sum_c R_{ac} \bar{R}_{cb} = \delta_{ab} + \sum_i \omega^i R_{ab} = \delta_{ab}, \quad (5.20)$$

where the left side comes from shrinking the inner and outer ρ loops consecutively, and the right side from fusing them before shrinking.¹⁰

Now, following the \downarrow direction in (2.14), we circle ρ_i on the operator product of local operators, and apply the F -move to obtain

$$\left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_{q_1} \end{array} \text{---} \mathcal{I} \text{---} \begin{array}{c} \circ \\ \mathcal{O}_{q_2} \end{array} \text{---} \right) \rho_i = \sum_{j'} \rho_i \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_{q_1} \end{array} \text{---} \right) \rho_{j'} \left(\text{---} \begin{array}{c} \circ \\ \mathcal{O}_{q_2} \end{array} \text{---} \right) \rho_i. \quad (5.21)$$

⁹The representation given in (3.10) was specialized to a particular basis for u_a . Here we prioritize the use of the $U(n_2)$ basis freedom to diagonalize ξ_{ab} in (5.4), so the requirement that u_a transforms as a representation needs to be rewritten in a basis-independent fashion.

¹⁰The fusion of the two ρ TDLs can be understood as an F -move followed by the shrinking of the ρ loop.

Using the \mathbb{Z}_3 action (4.7), we can simplify the sum of dumbbells to

$$3 \left. \rho_i \left(\bigcirc_{\mathcal{O}_{q_1}} \right) \xrightarrow{\rho_j} \left(\bigcirc_{\mathcal{O}_{q_2}} \right) \rho_i \right|_{\mathbb{Z}_3\text{-charge } -(q_1 + q_2)}, \quad (5.22)$$

where j is arbitrary. We might as well set $j = i$. In the following, we equate the above to the $\rightarrow\downarrow$ direction of (2.14) where the local operator product is taken first.

- $v \times v = 1 + \beta v$

$$\zeta^{-3} (1 + \beta v) + 3 \zeta^{-1} \left. \rho_i \left(\bigcirc_v \right) \xrightarrow{\rho_i} \left(\bigcirc_v \right) \rho_i \right|_{\mathbb{Z}_3\text{-neutral}} = \zeta - \zeta^{-1} \beta v. \quad (5.23)$$

Hence,

$$\sum_A (\varepsilon_A^i)^2 = \sqrt{13}, \quad \sum_{A,B} \varepsilon_A^i \kappa_{AB}^i \varepsilon_B^i = -\frac{\sqrt{13}}{3} \zeta^{-1} \beta. \quad (5.24)$$

- $u_a \times \bar{u}_b = \delta_{ab} + \xi_{ab} v$

$$\begin{aligned} & \zeta^{-1} \left(\delta_{ab} + \sum_{c,d} R_{ac} \bar{R}_{bd} \xi_{dc} v \right) + 3 \zeta^{-1} \left. \rho_i \left(\bigcirc_{u_a} \right) \xrightarrow{\rho_i} \left(\bigcirc_{\bar{u}_b} \right) \rho_i \right|_{\mathbb{Z}_3\text{-neutral}} \\ &= \zeta \delta_{ab} - \zeta^{-1} \xi_{ab} v. \end{aligned} \quad (5.25)$$

Hence,

$$\sum_A \gamma_{aA}^i \bar{\gamma}_{bA}^i = \zeta \delta_{ab}, \quad (5.26)$$

$$\sum_{A,B} \gamma_{aA}^i \bar{\gamma}_{bB}^i \kappa_{AB}^i = -\frac{1}{3} \left(\xi_{ab} + \sum_{c,d} R_{ac} \bar{R}_{bd} \xi_{dc} \right). \quad (5.27)$$

- $u_a \times u_b = \sum_c \sigma_{abc} \bar{u}_c$

$$\begin{aligned} & \zeta^{-1} \omega^{-i} \sum_{d,e,f} R_{ad} R_{be} \bar{\sigma}_{def} u_f + 3 \zeta^{-1} \left. \rho_i \left(\bigcirc_{u_a} \right) \xrightarrow{\rho_i} \left(\bigcirc_{u_b} \right) \rho_i \right|_{\mathbb{Z}_3\text{-charge } 1} \\ &= \omega^{-i} \sum_{c,f} \sigma_{abc} \bar{R}_{cf} u_f. \end{aligned} \quad (5.28)$$

Hence,

$$\sum_{A,B} \gamma_{aA}^i \gamma_{bB}^i \bar{\lambda}_{AB;f}^i = \frac{1}{3} \omega^{-i} \left(\zeta \sum_c \sigma_{abc} \bar{R}_{cf} - \sum_{d,e} R_{ad} \rho_{be} \bar{\sigma}_{def} \right). \quad (5.29)$$

- $u_a \times v = \sum_b \xi_{ab} u_b$

$$\begin{aligned}
& -\zeta^{-2} \omega^i \sum_{b,c} R_{ab} \bar{\xi}_{bc} \bar{u}_c + 3 \zeta^{-1} \left. \rho_i \left(\begin{array}{c} \circ \\ u_a \end{array} \right) \text{---} \rho_i \left(\begin{array}{c} \circ \\ v \end{array} \right) \right|_{\mathbb{Z}_3\text{-charge } -1} \\
& = \omega^i \sum_{b,c} \xi_{ab} R_{bc} \bar{u}_c .
\end{aligned} \tag{5.30}$$

Hence,

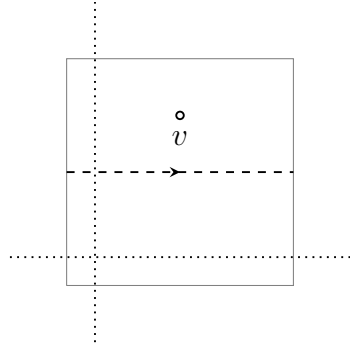
$$\sum_A \gamma_{aA}^i \lambda_{AB;c}^i \varepsilon_B^i = \frac{1}{3} \omega^i \sum_b (\zeta \xi_{ab} R_{bc} + \zeta^{-1} R_{ab} \bar{\xi}_{bc}) . \tag{5.31}$$

5.4 Torus one-point modular invariance

Consider the torus one-point modular invariance (2.25) in the special case of

$$\mathcal{L}_2 = \mathcal{L}_O = \mathcal{I}, \quad \mathcal{L}_3 = \bar{\mathcal{L}}_4 = \mathcal{L}_1 . \tag{5.32}$$

- v with \mathbb{Z}_3 symmetry line



The diagram shows a square centered on a grid of dotted lines. A horizontal dashed line with an arrow pointing to the right passes through the center of the square. A small circle with a dot inside, labeled 'v', is located at the center of the square.

$$\tag{5.33}$$

Let us denote the three-point function of v with \mathbb{Z}_3 defect operators by

$$\tilde{\xi}_a = c(v, w_a, \bar{w}_a) . \tag{5.34}$$

Let us write down

$$\text{vertical cut} = \text{horizontal cut} \tag{5.35}$$

for different numbers of vacua.

(a) $n_V = 6$

$$\begin{aligned}
0 &= c(v, v, v) + \omega \sum_a c(v, u_a, \bar{u}_a) + \omega^2 \sum_a c(v, \bar{u}_a, u_a) \\
&= c(v, v, v) - \sum_a c(v, u_a, \bar{u}_a) \\
&= \beta - \xi_1 - \xi_2 .
\end{aligned} \tag{5.36}$$

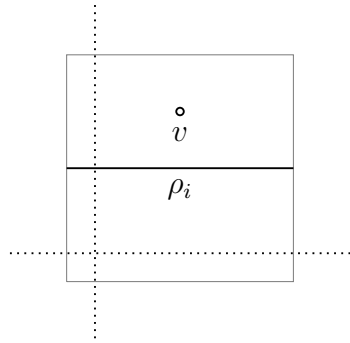
(b) $n_V = 4$

$$\tilde{\xi} = \beta - \xi. \quad (5.37)$$

(c) $n_V = 2$

$$\tilde{\xi}_1 + \tilde{\xi}_2 = \beta. \quad (5.38)$$

• v with ρ line



$$(5.39)$$

Under the vertical cut,

$$\sum_A c(v, o_{iA}, o_{iA}) = \sum_A \kappa_{AA}^i = \text{tr}(\kappa^i), \quad (5.40)$$

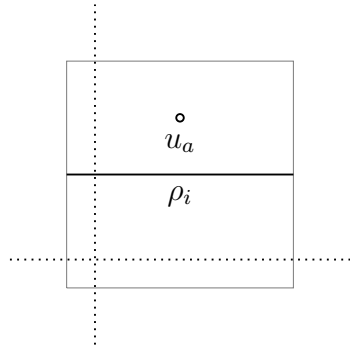
and under the horizontal cut.

$$-\zeta^{-1}c(v, v, v) = -\zeta^{-1}\beta, \quad (5.41)$$

Hence,

$$\text{tr}(\kappa^i) = -\zeta^{-1}\beta. \quad (5.42)$$

• u_a with ρ line



$$(5.43)$$

Under the vertical cut

$$\sum_A c(u_a, o_{iA}, o_{iA}) = \sum_A \lambda_{AA;a}^i, \quad (5.44)$$

and under the horizontal cut,

$$\sum_{b,c} \bar{R}_{bc} c(u_a, u_b, u_c) = \sum_{b,c} \bar{R}_{bc} \sigma_{abc}. \quad (5.45)$$

Hence,

$$\sum_A \lambda_{AA;a}^i = \sum_{b,c} \bar{R}_{bc} \sigma_{abc}. \quad (5.46)$$

6 Topological field theory with Haagerup \mathcal{H}_3 symmetry

This section analyzes the bootstrap constraints delineated in the previous section. We first narrow down the local operator algebra to a handful of possibilities, and then proceed to construct a topological field theory with six vacua realizing the Haagerup \mathcal{H}_3 fusion category.

6.1 Local operator algebra

To solve for a defect topological field theory, we begin by examining the associativity of local operators detailed in Section 5.1. There we used the $U(n_2)$ basis freedom for u_a and \bar{u}_a to put ξ_{ab} into diagonal form, and used associativity to constrain the possible eigenvalues; the result was

$$\xi_{ab} = \xi_a \delta_{ab}, \quad \xi_a = \frac{\beta \pm \sqrt{\beta^2 + 4}}{2}. \quad (6.1)$$

In this basis, (5.5) becomes

$$\xi_a \sigma_{abc} = \xi_b \sigma_{abc} = \xi_c \sigma_{abc}. \quad (6.2)$$

Then for any pair (a, b) such that $\xi_a \neq \xi_b$, it follows that $\sigma_{abc} = 0$, *i.e.* the operator product $u_a u_b$ must vanish. We have the following scenarios:

- (a) $n_V = 2$. There is no \mathbb{Z}_3 -charged operator.
- (b) $n_V = 4$. There is a single pair of \mathbb{Z}_3 -charged operators. Then (5.7) reads

$$\sigma^2 = 1 + \xi^2. \quad (6.3)$$

- (c) $n_V = 6$, and there are two pairs of \mathbb{Z}_3 -charged operators with *different* ξ_a . Because σ_{abc} with mixed indices vanish, (5.7) becomes

$$0 = 1 + \xi_1 \xi_2, \quad \sigma_{111}^2 = 1 + \xi_1^2, \quad \sigma_{222}^2 = 1 + \xi_2^2. \quad (6.4)$$

We can use the residual $U(1)^2$ basis freedom to make σ_{aaa} real and non-negative. Without loss of generality,

$$\begin{aligned}\xi_1 &= \frac{\beta - \sqrt{\beta^2 + 4}}{2}, & \xi_2 &= \frac{\beta + \sqrt{\beta^2 + 4}}{2}, \\ \sigma_{111} &= \sqrt{1 + \xi_1^2}, & \sigma_{222} &= \sqrt{1 + \xi_2^2}.\end{aligned}\tag{6.5}$$

(d) $n_V = 6$, and there are two pairs of \mathbb{Z}_3 -charged operators with *the same* ξ_a . It can be shown that the associativity of local operators admits a unique solution

$$\beta = 2i, \quad \xi_1 = \xi_2 = i, \quad \sigma_{abc} = 0.\tag{6.6}$$

This case will be ruled out momentarily.

To proceed, we examine the associativity of $\underline{o_{iA}o_{iB}v}$ detailed in Section 5.2. The first condition (5.11)

$$\sum_C \kappa_{AC}^i \kappa_{BC}^i = \delta_{AB} + \beta \kappa_{AB}^i\tag{6.7}$$

implies that κ_{AB}^i are 3×3 matrices with each eigenvalue taking one of two possible values

$$\text{each eigval}(\kappa^i) = \frac{\beta \pm \sqrt{\beta^2 + 4}}{2}.\tag{6.8}$$

And it follows from the torus one-point modular invariance condition (5.42) that

$$\text{tr}(\kappa^i) = -\zeta^{-1}\beta, \quad \zeta = \frac{3 + \sqrt{13}}{2}.\tag{6.9}$$

We immediately see that (6.6) fails to satisfy this constraint, so (d) is ruled out. In the following, we analyze the two inequivalent possibilities for the eigenvalues $\underline{---}$ and $\underline{+-}$ as labeled by the signs taken in (6.8). The $\underline{+++}$ and $\underline{++-}$ cases are equivalent to $\underline{---}$ and $\underline{+-}$ by the redefinition $v \rightarrow -v$.

I. $\underline{---}$ The torus one-point modular invariance condition (5.42) becomes

$$3 \times \frac{\beta - \sqrt{\beta^2 + 4}}{2} = -\zeta^{-1}\beta \quad \Rightarrow \quad \beta = 3.\tag{6.10}$$

As all eigenvalues of κ_{AB}^i are the same, in any basis for o_{iA} ,

$$\kappa_{AB}^i = -\zeta^{-1}\delta_{AB}.\tag{6.11}$$

Besides the local operator algebra, the action of the ρ TDL on the \mathbb{Z}_3 -charged operators is constrained as follows. First, recall from (5.20) that

$$\sum_c R_{ac} \bar{R}_{cb} = \delta_{ab}. \quad (6.12)$$

Second, by the use of (5.26) and (6.11), we can evaluate the left side of (5.27),

$$\sum_{A,B} \gamma_{aA}^i \bar{\gamma}_{bB}^i \kappa_{AB}^i = -\zeta^{-1} \sum_A \gamma_{aA}^i \bar{\gamma}_{bA}^i = -\delta_{ab}, \quad (6.13)$$

and then (5.27) becomes

$$-\delta_{ab} = -\frac{1}{3} \left(\xi_{ab} + \sum_{c,d} R_{ad} \bar{R}_{bc} \xi_{dc} \right). \quad (6.14)$$

Let us examine the scenarios (a)(b)(c).

- (a) If $n_V = 2$, then $\beta = 3$ completely specifies the local operator algebra.
- (b) If $n_V = 4$, then (6.14) becomes a scalar equation reading

$$-1 = -\frac{1}{3} (\xi + R \bar{R} \xi) = \frac{2}{3} \xi, \quad \xi \equiv \xi_{11} = \xi_1, \quad R \equiv R_{11}, \quad (6.15)$$

which contradicts with the allowed ξ values (6.1) given $\beta = 3$. Hence this case is ruled out.

- (c) If $n_V = 6$, then to be consistent with torus one-point modular invariance (5.36) and the allowed ξ_a values (6.1), we set without loss of generality

$$\xi_1 = -\zeta^{-1}, \quad \xi_2 = \zeta. \quad (6.16)$$

By (6.4), the non-vanishing three-point coefficients of \mathbb{Z}_3 -charged operators are

$$\sigma_{111} = \sqrt{1 + \zeta^{-2}} = \sqrt{\frac{13 - 3\sqrt{13}}{2}}, \quad \sigma_{222} = \sqrt{1 + \zeta^2} = \sqrt{\frac{13 + 3\sqrt{13}}{2}}. \quad (6.17)$$

We have thus completely specified the operator product algebra. Together with (6.12) and (6.14), the action of the ρ TDL on \mathbb{Z}_3 -charged local operators are restricted to be

$$\hat{\rho}(u_a) = \left(\begin{array}{c} \circ \\ u_a \end{array} \right) \rho_i = \sum_b R_{ab} \bar{u}_b, \quad R = \theta \times \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \theta \in \mathbb{C}, \quad |\theta| = 1. \quad (6.18)$$

II. + - - The torus one-point modular invariance condition (5.42) becomes

$$\frac{3\beta - \sqrt{\beta^2 + 4}}{2} = -\zeta^{-1}\beta, \quad \Rightarrow \quad \beta = \frac{1}{\sqrt{3}}. \quad (6.19)$$

The values of ξ_{ab} and σ_{abc} are fixed by β through (6.1), (6.3), and (6.5). The bootstrap analysis of this possibility is more complicated than the - - - case, so we leave it for future work. However, some hints pointing towards the existence of a defect TFT of case II(b) with $n_V = 4$, and arguments for the non-existence in cases II(a) with $n_V = 2$ and II(c) with $n_V = 6$ can be found in Section 7.

In the next section, we complete the construction of a TFT of case I(c) with $n_V = 6$ and $\beta = 3$. The reader interested in boundary conditions can safely proceed to Section 7.

6.2 Topological field theory with six vacua

We now construct the rest of the defect TFT data in case I(c) with $n_V = 6$ and $\beta = 3$, and solve **all** the consistency conditions outlined in Section 2.4.

It turns out that a good point of attack is the associativity of $\underline{o_{iA}o_{iB}v}$. The condition (5.12) in the basis diagonalizing ξ_{ab} (5.4) reads

$$\sum_C \kappa_{AC}^i \lambda_{BC;a}^i = \xi_a \lambda_{AB;a}^i, \quad (6.20)$$

which implies that for fixed A and a , $\lambda_{AB;a}^i$ must be an eigenvector of κ^i with eigenvalue ξ_a ; otherwise $\lambda_{BC;a}^i$ vanishes. But because κ^i does not have ζ as an eigenvalue, it follows that

$$\lambda_{AB;2}^i = 0. \quad (6.21)$$

Note that the vanishing of $\lambda_{AB;2}^i$ is consistent with (5.14).

By considering the vanishing $\lambda_{AB;2}^i$, we can determine the ρ action, which we found to be parameterized by $\theta \in \mathbb{C}$ in (6.18). The nontrivial part of (5.29) with $f = 2$ becomes

$$0 = \zeta \sigma_{111} \bar{\theta} - \theta^2 \sigma_{222}, \quad (6.22)$$

which by the use of (6.17) leads to

$$|\theta| = \zeta \frac{\sigma_{111}}{\sigma_{222}} = \zeta \sqrt{\frac{1 + \zeta^{-2}}{1 + \zeta^2}} = 1, \quad \theta^3 = 1. \quad (6.23)$$

Up to the relabeling of ρ_i ,

$$\theta = 1. \quad (6.24)$$

For the non-vanishing $\lambda_{AB;1}^i$, it is convenient to define a normalized

$$\hat{\lambda}_{AB}^i \equiv \frac{\lambda_{AB;1}^i}{\sigma_{111}}, \quad (6.25)$$

and write the associativity of $\underline{o_{iA}o_{iB}u_a}$ (5.14) and the modular invariance condition (5.46) in matrix notation as (recall that $\hat{\lambda}^i$ is a symmetric matrix)

$$\hat{\lambda}^i \bar{\lambda}^i = 1, \quad \hat{\lambda}^i \hat{\lambda}^i = \bar{\lambda}^i, \quad \text{Tr } \lambda^i = 0. \quad (6.26)$$

The first equation says that $\hat{\lambda}^i$ is unitary, and combined with the second equation implies that $(\hat{\lambda}^i)^3 = 1$. The third equation then tells us that $\hat{\lambda}^i$ has eigenvalues

$$\text{eigvals}(\hat{\lambda}^i) = \{1, \omega, \omega^2\}. \quad (6.27)$$

We now prove that $\hat{\lambda}$ (suppressing superscript i) must be diagonalizable by an $O(3)$ matrix. For convenience define $\Omega = \text{diag}(1, \omega, \omega^2)$. Because $\hat{\lambda}$ is unitary, it can always be diagonalized by a unitary matrix Z , *i.e.* $\hat{\lambda} = Z^\dagger \Omega Z$. For $\hat{\lambda}$ to be symmetric, we must have

$$Z^\dagger W Z = Z^T W \bar{Z} \quad \Rightarrow \quad (ZZ^T) W \overline{(ZZ^T)} = W. \quad (6.28)$$

Let us define $A = ZZ^T$. The $(1, 1)$ -component of the matrix equation (6.28) reads

$$|A_{11}|^2 + \omega |A_{12}|^2 + \omega^2 |A_{13}|^2 = 1, \quad (6.29)$$

where we used the fact that A is symmetric. Now for the above equation to have a solution, we must have $|A_{12}|^2 = |A_{13}|^2$, since otherwise the imaginary part cannot match. Let us call this value $x \equiv |A_{12}|^2 = |A_{13}|^2$. Then $|A_{11}|^2 = 1 + x$. Proceeding similarly, we arrive at the following matrix

$$A = \begin{pmatrix} e^{ia_{11}} \sqrt{1+x} & e^{ia_{12}} \sqrt{x} & e^{ia_{13}} \sqrt{x} \\ e^{ia_{12}} \sqrt{x} & e^{ia_{22}} \sqrt{1+x} & e^{ia_{23}} \sqrt{x} \\ e^{ia_{13}} \sqrt{x} & e^{ia_{23}} \sqrt{x} & e^{ia_{33}} \sqrt{1+x} \end{pmatrix}, \quad (6.30)$$

where a_{ij} are arbitrary phases. Finally, A must be unitary since

$$AA^\dagger = (ZZ^T)(ZZ^T)^\dagger = Z(Z^T \bar{Z})Z^\dagger = ZZ^\dagger = 1, \quad (6.31)$$

which means that

$$(AA^\dagger)_{11} = 1 + 3x = 1. \quad (6.32)$$

Hence $x = 0$ and we end up with

$$A = \begin{pmatrix} e^{ia_{11}} & & \\ & e^{ia_{22}} & \\ & & e^{ia_{33}} \end{pmatrix}. \quad (6.33)$$

The $O(3)$ matrix of interest is given by¹¹

$$O \equiv \sqrt{A^{-1}}Z. \quad (6.34)$$

We can therefore use the $O(3)$ freedom to set

$$\hat{\lambda}_{AB;1}^i = \omega^{A-1-i} \delta_{AB} \quad \Rightarrow \quad \lambda_{AB;1}^i = \omega^{A-1-i} \sigma_{111} \delta_{AB} = \omega^{A-1-i} \sqrt{1 + \zeta^{-2}} \delta_{AB}. \quad (6.35)$$

Let us summarize the solution we found so far into

$$\begin{aligned} v \times v &= 1 + 3v, & u_1 \times \bar{u}_1 &= 1 - \zeta^{-1}v, & u_2 \times \bar{u}_2 &= 1 + \zeta v, \\ u_1 \times \bar{u}_2 &= 0, & u_1 \times u_1 &= \sqrt{1 + \zeta^{-2}} \bar{u}_1, & u_2 \times u_2 &= \sqrt{1 + \zeta^2} \bar{u}_2, \end{aligned} \quad (6.36)$$

$$o_{iA} \xrightarrow{\rho_i} o_{iB} = \begin{cases} 1 - \zeta^{-1}v + \sqrt{1 + \zeta^{-2}} (\omega^{i+1-A} u_1 + \omega^{A-1-i} \bar{u}_1) & A = B, \\ 0 & A \neq B. \end{cases}$$

We proceed to solve the more general crossing symmetry involving four ρ_i defect operators. Some analytic progress is made in Appendix B, such as deriving a selection rule (B.12), but eventually we resort to computer numerics to find a solution.¹² Up to operator relabeling and sign redefinitions, the solution appears to be unique. The non-vanishing defect three-point functions are (vacuum expectation values are implicitly taken)

$$\begin{aligned} o_{iA} \circ \begin{array}{c} o_{iA} \\ \diagup \quad \diagdown \\ o_{iA} \end{array} &= \sqrt{\frac{17\sqrt{13} - 52}{3}}, \\ o_{i+1,A+1} \circ \begin{array}{c} o_{iA} \\ \diagup \quad \diagdown \\ o_{iA} \end{array} &= -\frac{1}{2} \sqrt{\frac{1}{3} \left(-13 + 11\sqrt{13} + \sqrt{78(7\sqrt{13} - 23)} \right)}, \\ o_{i-1,A-1} \circ \begin{array}{c} o_{iA} \\ \diagup \quad \diagdown \\ o_{iA} \end{array} &= \frac{1}{2} \sqrt{\frac{1}{3} \left(-13 + 11\sqrt{13} - \sqrt{78(7\sqrt{13} - 23)} \right)}, \\ o_{iA} \circ \begin{array}{c} o_{i-1,A-1} \\ \diagup \quad \diagdown \\ o_{i+1,A+1} \end{array} &= o_{iA} \circ \begin{array}{c} o_{i+1,A+1} \\ \diagup \quad \diagdown \\ o_{i-1,A-1} \end{array} = -\sqrt{\frac{13 + 7\sqrt{13}}{6}}. \end{aligned} \quad (6.37)$$

¹¹The fact that O is an $O(3)$ matrix follows from $OO^\dagger = OO^T = 1$. The first equality implies that $O^\dagger = O^T$, or equivalently that O is real, and the second equality is orthogonality.

¹²Up to this point in the main text, no assumption about reflection-positivity was needed. However, both Appendix B and the computer numerics assume reflection-positivity.

Finally, we can solve the full set of modular invariance constraints, which are linear in the lassos. We find a solution where some of the lassos are given by (vacuum expectation values are implicitly taken)

and the rest are related to the above via (4.14).

apply to the entire family of local operator algebras, parameterized by β , that solve the associativity of local operators. Of course, we have seen that the associativity of $\underline{o_{iA}o_{iB}v}$ requires $\beta = 3$ or $\beta = \frac{1}{\sqrt{3}}$.

(a) Consider $n_V = 2$. The projector basis for

$$v \times v = 1 + \beta v \quad (7.4)$$

is given by

$$\pi_1 = \frac{\zeta - v}{\sqrt{4 + \beta^2}}, \quad \pi_2 = \frac{\zeta^{-1} + v}{\sqrt{4 + \beta^2}}. \quad (7.5)$$

According to (7.2), the Cardy states are

$$\nu_1 = \frac{\sqrt[4]{4 + \beta^2}}{\sqrt{\zeta}} \pi_1, \quad \nu_2 = \sqrt[4]{4 + \beta^2} \sqrt{\zeta} \pi_2. \quad (7.6)$$

I. When $\beta = 3$, they furnish a NIM-rep

$$\mathcal{N}_\alpha = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}, \quad \mathcal{N}_\rho = \begin{pmatrix} 3 & 1 \\ & 1 \end{pmatrix}. \quad (7.7)$$

II. When $\beta = \frac{1}{\sqrt{3}}$, the representation

$$\mathcal{N}_\alpha = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}, \quad \mathcal{N}_\rho = \begin{pmatrix} 2 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix} \quad (7.8)$$

is not NIM.

(b) For $n_V = 4$, the local operator algebra is given by

$$v \times v = 1 + \beta v, \quad u \times \bar{u} = 1 + \xi v, \quad u \times u = \sqrt{1 + \xi^2} \bar{u}, \quad \xi = \frac{\beta \pm \sqrt{\beta^2 + 4}}{2}. \quad (7.9)$$

There are two possible choices for ξ , and we can construct the projector basis

$$\pi_a = \begin{cases} \frac{\epsilon \xi^{-1} + \epsilon v + \sqrt[4]{4 + \beta^2} \sqrt{\epsilon \xi^{-1}} (\omega^{a-1} u + \omega^{1-a} \bar{u})}{3\sqrt{4 + \beta^2}}, & a = 1, 2, 3, \\ \frac{\epsilon \xi - \epsilon v}{\sqrt{4 + \beta^2}}, & a = 4, \end{cases} \quad (7.10)$$

where $\epsilon \equiv \text{sign}(\xi)$. The Cardy states (7.2) are then

$$\nu_a = \begin{cases} \left(\frac{\epsilon \xi^{-1}}{3\sqrt{4 + \beta^2}} \right)^{-\frac{1}{2}} \pi_a, & a = 1, 2, 3, \\ \left(\frac{\epsilon \xi}{\sqrt{4 + \beta^2}} \right)^{-\frac{1}{2}} \pi_a, & a = 4. \end{cases} \quad (7.11)$$

Whether they furnish a NIM-rep depends on how the ρ TDL acts, that is, on R .

I. When $\beta = \frac{1}{\sqrt{3}}$, we find that for ξ taking either value, that is $\epsilon = \pm$, there is exactly one value of R that gives rise to a NIM-rep:

$$\begin{aligned} \epsilon = +, \quad R = 1: \quad \mathcal{N}_\alpha &= \begin{pmatrix} & 1 & & \\ & & 1 & \\ 1 & & & \\ & & & 1 \end{pmatrix}, \quad \mathcal{N}_\rho = \begin{pmatrix} 1 & & & 1 \\ & 1 & 1 & \\ & & 1 & 1 \\ 1 & 1 & 1 & 2 \end{pmatrix}, \\ \epsilon = -, \quad R = -1: \quad \mathcal{N}_\alpha &= \begin{pmatrix} & 1 & & \\ & & 1 & \\ 1 & & & \\ & & & 1 \end{pmatrix}, \quad \mathcal{N}_\rho = \begin{pmatrix} & 1 & 1 & 1 \\ 1 & 1 & & 1 \\ 1 & & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix}. \end{aligned} \quad (7.12)$$

II. When $\beta = 3$, we instead have

$$\begin{aligned} \epsilon = +: \quad \mathcal{N}_\rho &= \begin{pmatrix} \frac{2\cos\phi}{3} & -\frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & -\frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ -\frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & -\frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & \frac{2\cos\phi}{3} & \frac{1}{\sqrt{3}} \\ -\frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & \frac{2\cos\phi}{3} & -\frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 3 \end{pmatrix}, \\ \epsilon = -: \quad \mathcal{N}_\rho &= \begin{pmatrix} 1 + \frac{2\cos\phi}{3} & 1 - \frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & 1 - \frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ 1 - \frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & 1 - \frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & 1 + \frac{2\cos\phi}{3} & \frac{1}{\sqrt{3}} \\ 1 - \frac{\cos\phi}{3} - \frac{\sin\phi}{\sqrt{3}} & 1 + \frac{2\cos\phi}{3} & 1 - \frac{\cos\phi}{3} + \frac{\sin\phi}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \end{pmatrix}, \end{aligned} \quad (7.13)$$

where $R = e^{i\phi}$. As we can see the representation is not NIM.

(c) Finally, consider $n_V = 6$. The local operator algebra is

$$\begin{aligned} v \times v &= 1 + \beta v, \quad u_1 \times \bar{u}_1 = 1 + \xi_1 v, \quad u_2 \times \bar{u}_2 = 1 + \xi_2 v, \\ u_1 \times \bar{u}_2 &= 0, \quad u_1 \times u_1 = \sqrt{1 + \xi_1^2} \bar{u}_1, \quad u_2 \times u_2 = \sqrt{1 + \xi_2^2} \bar{u}_2, \\ \xi_{1,2} &= \frac{\beta \mp \sqrt{\beta^2 + 4}}{2}, \end{aligned} \quad (7.14)$$

and the projector basis is given by

$$\pi_a = \begin{cases} \frac{\xi_2 - v + \sqrt[4]{4 + \beta^2} \sqrt{\xi_2} (\omega^{a-1} u_1 + \omega^{1-a} \bar{u}_1)}{3\sqrt{4 + \beta^2}}, & a = 1, 2, 3, \\ \frac{-\xi_1 + v + \sqrt[4]{4 + \beta^2} \sqrt{-\xi_1} (\omega^{a-1} u_2 + \omega^{1-a} \bar{u}_2)}{3\sqrt{4 + \beta^2}}, & a = 4, 5, 6. \end{cases} \quad (7.15)$$

The Cardy states (7.2) are

$$\nu_a = \begin{cases} \left(\frac{\xi_2}{3\sqrt{4+\beta^2}} \right)^{-\frac{1}{2}} \pi_a, & a = 1, 2, 3, \\ \left(\frac{-\xi_1}{3\sqrt{4+\beta^2}} \right)^{-\frac{1}{2}} \pi_a, & a = 4, 5, 6. \end{cases} \quad (7.16)$$

Clearly, the triples (ν_1, ν_2, ν_3) and (ν_4, ν_5, ν_6) each transforms as a three-dimensional permutation representation under \mathbb{Z}_3 .

- I. When $\beta = 3$, with the ρ TDL action R_{ab} given by (6.18) and (6.24), one can check that the Cardy states furnish a NIM-rep

$$\mathcal{N}_\alpha = \begin{pmatrix} & 1 & & & \\ & & 1 & & \\ 1 & & & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix}, \quad \mathcal{N}_\rho = \begin{pmatrix} 1 & 1 & 1 & 1 & \\ 1 & 1 & 1 & & 1 \\ 1 & 1 & 1 & & 1 \\ 1 & & & & \\ & 1 & & & \\ & & 1 & & \end{pmatrix}. \quad (7.17)$$

- II. For $\beta = \frac{1}{\sqrt{3}}$, without assuming anything about the matrix $R_{ab} = x_{ab} + iy_{ab}$, we get the following representation for ρ action:

$$\mathcal{N}_\rho = \begin{pmatrix} A & B \\ C & D \end{pmatrix}, \quad B = \begin{pmatrix} \frac{1}{\sqrt{3}} + \frac{2x_{12}}{3} & -\frac{x_{12}}{3} + \frac{1+y_{12}}{\sqrt{3}} & -\frac{x_{12}}{3} + \frac{1-y_{12}}{\sqrt{3}} \\ -\frac{x_{12}}{3} + \frac{1+y_{12}}{\sqrt{3}} & -\frac{x_{12}}{3} + \frac{1-y_{12}}{\sqrt{3}} & \frac{1}{\sqrt{3}} + \frac{2x_{12}}{3} \\ -\frac{x_{12}}{3} + \frac{1-y_{12}}{\sqrt{3}} & \frac{1}{\sqrt{3}} + \frac{2x_{12}}{3} & -\frac{x_{12}}{3} + \frac{1+y_{12}}{\sqrt{3}} \end{pmatrix}, \quad (7.18)$$

and A, C, D are other 3×3 matrices whose explicit form we do not need. Suppose B is NIM. Because $B_{12} - B_{13} = \frac{2y_{12}}{\sqrt{3}}$, it follows that y_{12} must be a multiple of $\sqrt{3}$, and we can write $y_{12} = n\sqrt{3}$ with $n \in \mathbb{Z}$. But then $B_{11} + 2B_{12} = \sqrt{3} + 2n$. Hence no NIM-rep exists.

The results of the above analysis are summarized in Table 3. Remarkably, the defect TFT constructed in Section 6.2 passed the NIM-rep test. Moreover, the NIM-rep requirement in case II(b) allowed us to determine the action of the ρ TDL on the \mathbb{Z}_3 -charged operators.

	(a) $n_V = 2$	(b) $n_V = 4$	(c) $n_V = 6$
I. $\beta = 3$	\circ	\times	\circ
II. $\beta = \frac{1}{\sqrt{3}}$	\times	\circ	\times

Table 3: Existence of $(1+1)d$ topological field theories realizing the Haagerup \mathcal{H}_3 fusion category from analyzing the fusion of topological defect lines with the admissible boundary conditions. We restrict to theories with exactly two \mathbb{Z}_3 -neutral vacua 1 and v . Here n_V denotes the total number of vacua, and β is the coefficient in the fusion rule $v \times v = 1 + \beta v$. The \circ marks the cases that pass the NIM-rep condition, and the \times marks the cases ruled out by the NIM-rep condition. The theory constructed in Section 6.2 is highlighted.

8 Realization of Haagerup \mathcal{H}_1 and \mathcal{H}_2 via gauging

Given (a $(1+1)d$ quantum field theory with) a finite symmetry group G that contains a non-anomalous subgroup H , gauging $H < G$ gives rise to (a quantum field theory with) a fusion category symmetry F' that contains a $\text{Rep}(H)$ sub-category. This process can be reversed by gauging $\text{Rep}(H) < F'$. In this sense, the pairs (G, H) and $(F, \text{Rep}(H))$ are dual to each other. A generalization of the above statement is the following: given a fusion category \mathcal{C} that contains an algebra object (a non-simple topological defect line satisfying certain conditions) A , gauging $A < \mathcal{C}$ gives rise to a fusion category $\mathcal{C}' = \text{Bimod}_{\mathcal{C}}(A, A)$ (category of (A, A) bimodules within \mathcal{C}) that contains a dual algebra object A' , and this process can be reversed by gauging $A' < \mathcal{C}'$. Thus, the pairs (\mathcal{C}, A) and (\mathcal{C}', A') are dual to each other.¹³ The reader is referred to [10] for a much more refined discussion, and to [36–38] for the original idea of generalized gauging.

The relations among the Haagerup \mathcal{H}_1 , \mathcal{H}_2 , \mathcal{H}_3 fusion categories can be understood this way. Up to automorphism, there are two nontrivial algebra objects in \mathcal{H}_2 , one corresponding to the non-anomalous \mathbb{Z}_3 symmetry $\mathcal{I} + \alpha + \alpha^2$, and the other to $\mathcal{I} + \rho$. There are also two nontrivial algebra objects in \mathcal{H}_3 , one again corresponding to the non-anomalous \mathbb{Z}_3 symmetry $\mathcal{I} + \alpha + \alpha^2$, and the other to $\mathcal{I} + \rho + \alpha\rho$. Gauging the \mathbb{Z}_3 symmetry exchanges \mathcal{H}_2 and \mathcal{H}_3 , and gauging the other nontrivial algebra object in either \mathcal{H}_2 or \mathcal{H}_3 gives \mathcal{H}_1 . These relations are summarized in Figure 1.

Thus, to construct topological field theories realizing the Haagerup \mathcal{H}_1 or \mathcal{H}_2 fusion category, one can simply take a topological field theory realizing \mathcal{H}_3 , such as the one we

¹³Gauging by different algebra objects A_1 and A_2 with the same module category $\text{Mod}_{\mathcal{C}}(A_1) = \text{Mod}_{\mathcal{C}}(A_2)$ gives rise to the same gauged theory, so A_1 and A_2 are equivalent in the context of gauging. The duality pairing of (\mathcal{C}, A) and (\mathcal{C}', A') is up to this equivalence.

constructed in Section 6.2, and gauge $\mathcal{I} + \rho + \alpha\rho$ or $\mathcal{I} + \alpha + \alpha^2$ (the \mathbb{Z}_3 symmetry), respectively. A discussion on the gauging of algebra objects in $(1+1)d$ topological field theory can be found in [10]. In particular, gauging the theory we constructed, which has $n_V = 6$ vacua and realizes the Haagerup \mathcal{H}_3 fusion category, by \mathbb{Z}_3 gives rise to a theory that has $n_V = 2$ vacua and realizes the Haagerup \mathcal{H}_2 fusion category.

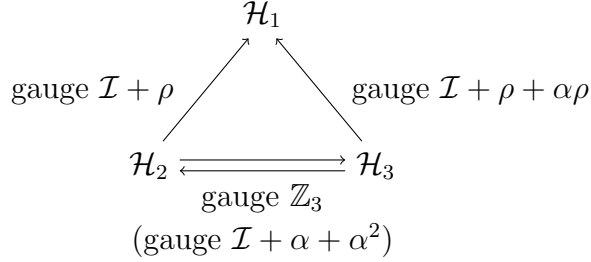


Figure 1: Gauging relations among theories realizing the three Haagerup fusion categories.

9 Prospective questions

- What is the full structure, when boundaries are included, of the defect topological field theory that we constructed in Section 6.2?
- Is there a deeper meaning of the “superselection” rule noted below (6.37)?
- Are there topological field theories realizing case I(a), with $n_V = 2$ vacua and $\beta = \frac{1}{\sqrt{3}}$, or II(b), with $n_V = 4$ vacua and $\beta = \frac{1}{\sqrt{3}}$? For these cases, we showed in Section 7 that the Cardy states furnish a non-negative integer matrix representation under fusion with topological defect lines, which was rather remarkable for case II(b).
- Is there a conformal field theory realizing Haagerup or its quantum double? Despite highly nontrivial evidence from the work of Evans and Gannon [26], and interesting attempts by Wolf [39], the question remains open. The defect modular bootstrap approach of [63, 64] may put universal constraints on such conformal field theories.
- Is Haagerup truly *exotic* (whatever exotic means)? Evans and Gannon [26] suggested not, as it sits inside a hypothetically infinite family of Haagerup-Izumi subfactors/fusion categories [25]. The transparent F -symbols for some higher members of this family have been recently computed by the present authors [57], and an approach similar to this note may allow the construction of the corresponding defect topological field theories.

- Finally, the broader questions **Q1**, **Q2**, and **Q3** of Section 1 motivating this work remain open.

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A The F -symbols for the Haagerup \mathcal{H}_3 fusion category

This appendix presents the F -symbols for the transparent Haagerup \mathcal{H}_3 fusion category found in [57].

Let $I = \{\mathcal{I}, \alpha, \alpha^2\}$ be the set of invertible objects, $N = \{\rho, \alpha\rho, \alpha^2\rho\}$ the set of non-invertible simple objects of the Haagerup \mathcal{H}_3 fusion ring, and define $\zeta \equiv \frac{3+\sqrt{13}}{2}$. The F -symbols involving at least one invertible object are found to be

$$(F_{\eta\mathcal{L}}^{\eta\mathcal{L}\theta, \bar{\mathcal{L}}\theta, \mathcal{L}})_{\eta, \bar{\theta}} = (F_{\bar{\mathcal{L}}_3}^{\mathcal{L}_1, \eta\mathcal{L}_1, \eta\mathcal{L}_3})_{\bar{\eta}, \mathcal{L}_2} = \zeta^{-1}, \quad (F_{\eta\mathcal{L}_1}^{\mathcal{L}_1, \mathcal{L}_3, \eta\mathcal{L}_3})_{\mathcal{L}_2, \bar{\eta}} = 1, \quad (\text{A.1})$$

where $\eta, \theta \in I$ and $\mathcal{L}_i \in N$. The remaining F -symbols are the ones where all simple objects are non-invertible. It suffices to specify the nine components $(F_{*}^{\rho, \rho, \rho})_{\rho, *}$ with $*$ running over the non-invertible simple objects, since via the relations

$$\begin{aligned} (F_{\mathcal{L}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3})_{\mathcal{L}_5, \mathcal{L}_6} &= (F_{\eta\mathcal{L}_4}^{\eta\mathcal{L}_1, \eta\mathcal{L}_2, \eta\mathcal{L}_3})_{\eta\mathcal{L}_5, \eta\mathcal{L}_6} = (F_{\mathcal{L}_4}^{\eta\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3\eta})_{\mathcal{L}_5, \mathcal{L}_6} \\ &= (F_{\mathcal{L}_4\eta}^{\mathcal{L}_1, \eta\mathcal{L}_2, \mathcal{L}_3})_{\mathcal{L}_5, \mathcal{L}_6} = (F_{\mathcal{L}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3})_{\eta\mathcal{L}_5, \mathcal{L}_6\eta} \end{aligned} \quad (\text{A.2})$$

one can fix the values of all other F -symbols. Note that the equality between the first and the last terms implies that every $F_{\mathcal{L}_4}^{\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3}$ is an anti-circulant matrix.

Solving the pentagon identity under transparency, there is exactly one unitary orbit of the automorphism group $\text{Aut}(\mathbb{Z}_3) \cong \mathbb{Z}_2$ with two solutions. One of them is given by

$$\begin{array}{c|ccc} F_{*}^{\rho, \rho, \rho}(\rho, *) & \rho & \alpha\rho & \alpha^2\rho \\ \hline \rho & x & y_1 & y_2 \\ \alpha\rho & y_1 & y_2 & z \\ \alpha^2\rho & y_2 & z & y_1 \end{array} \quad (\text{A.3})$$

where

$$x = \frac{2 - \sqrt{13}}{3}, \quad y_{1,2} = \frac{1}{12} \left(5 - \sqrt{13} \mp \sqrt{6(1 + \sqrt{13})} \right), \quad z = \frac{1 + \sqrt{13}}{6}. \quad (\text{A.4})$$

$\text{Aut}(\mathbb{Z}_3) \cong \mathbb{Z}_2$ exchanges y_1 and y_2 giving the other solution in the orbit.

For the first solution, the independent F -symbols can be presented as

$$F_{\rho_i}^{\rho_i, \rho_j, \rho_j} = \begin{pmatrix} \zeta^{-1} & \zeta^{-1} & \zeta^{-1} & \zeta^{-1} \\ 1 & \mathfrak{f}_{i+j} & \mathfrak{f}_{i+j-1} & \mathfrak{f}_{i+j-2} \\ 1 & \mathfrak{f}_{i+j-1} & \mathfrak{f}_{i+j-2} & \mathfrak{f}_{i+j} \\ 1 & \mathfrak{f}_{i+j-2} & \mathfrak{f}_{i+j} & \mathfrak{f}_{i+j-1} \end{pmatrix}, \quad F_{\rho_j}^{\rho_i, \rho_j, \rho_i} = \begin{pmatrix} \mathfrak{f}'_{i+j} & \mathfrak{f}'_{i+j-1} & \mathfrak{f}'_{i+j-2} \\ \mathfrak{f}'_{i+j-1} & \mathfrak{f}'_{i+j-2} & \mathfrak{f}'_{i+j} \\ \mathfrak{f}'_{i+j-2} & \mathfrak{f}'_{i+j} & \mathfrak{f}'_{i+j-1} \end{pmatrix}, \quad (\text{A.5})$$

$$\mathfrak{f}_0 = x, \quad \mathfrak{f}_1 = y_1, \quad \mathfrak{f}_2 = y_2, \quad \mathfrak{f}'_0 = z, \quad \mathfrak{f}'_1 = y_1, \quad \mathfrak{f}'_2 = y_2,$$

where the subscripts of \mathfrak{f} and \mathfrak{f}' are defined modulo 3.

B Crossing symmetry of ρ defect operators

General crossing symmetry involving topological defect lines (TDLs) was discussed in Section 2.4. In search for a defect topological field theory (TFT) whose TDLs realize the Haagerup \mathcal{H}_3 fusion category, the subset of crossing symmetry constraints that are equivalent to the associativity with at least one local operator was delineated in Section 5, and solved in Section 6.1 to obtain part of the defining data of the TFT. In this appendix, we study other crossing symmetry constraints that encode more data of the TFT. These constraints can be depicted graphically as

$$\begin{array}{c} o_{i_1 A_1} \\ \diagdown \\ \mathcal{L} \\ \diagup \\ o_{i_2 A_2} \end{array} \begin{array}{c} o_{i_4 A_4} \\ \diagup \\ \mathcal{L} \\ \diagdown \\ o_{i_3 A_3} \end{array} = \sum_{\mathcal{L}'} \begin{array}{c} o_{i_1 A_1} \\ \diagdown \\ \mathcal{L}' \\ \diagup \\ o_{i_2 A_2} \end{array} \begin{array}{c} o_{i_4 A_4} \\ \diagup \\ \mathcal{L}' \\ \diagdown \\ o_{i_3 A_3} \end{array} (F_{\rho_{i_4}}^{\rho_{i_1}, \rho_{i_2}, \rho_{i_3}})_{\mathcal{L}, \mathcal{L}'}, \quad (\text{B.1})$$

and cutting along the dotted line gives

$$\begin{aligned} & \sum_{\mathcal{O} \in \mathcal{H}_{\mathcal{L}}} c(o_{i_1 A_1}, o_{i_2 A_2}, \mathcal{O}) c(o_{i_3 A_3}, o_{i_4 A_4}, \overline{\mathcal{O}}) \\ &= \sum_{\mathcal{L}'} (F_{\rho_{i_4}}^{\rho_{i_1}, \rho_{i_2}, \rho_{i_3}})_{\mathcal{L}, \mathcal{L}'} \sum_{\mathcal{O}' \in \mathcal{H}_{\mathcal{L}'}} c(o_{i_2 A_2}, o_{i_3 A_3}, \mathcal{O}') c(o_{i_4 A_4}, o_{i_1 A_1}, \overline{\mathcal{O}'}). \end{aligned} \quad (\text{B.2})$$

Depending on the quadruple (i_1, i_2, i_3, i_4) , the internal TDLs $\mathcal{L}, \mathcal{L}'$ run over either the three non-invertible TDLs $\rho_0 \equiv \rho$, $\rho_1 \equiv \alpha\rho$, $\rho_2 \equiv \alpha^2\rho$, or an additional invertible TDL. It is convenient to introduce a capital I index such that $\rho_{I=-1}$ denotes the invertible TDL whenever applicable, and $\rho_{I=i} = \rho_i$ for $i = 0, 1, 2$. In particular, if $\rho_{I=-1}$ is the trivial TDL \mathcal{I} , then $o_{I=-1,A}$ with $A = 1, \dots, n_V$ represent the local operators.

Two pairs of identical external operators o_{iA} and o_{jB} in the 1221 configuration

In this case, the defect crossing equation (B.2) in the newly introduced notation reads

$$\begin{array}{c}
 \begin{array}{ccc}
 o_{iA} & & o_{iA} \\
 & \swarrow \quad \searrow & \\
 & \rho_K & \\
 & \swarrow \quad \searrow & \\
 o_{jB} & & o_{jB}
 \end{array}
 \end{array}
 \begin{array}{c}
 \left| o_{KC} \right\rangle \left\langle o_{KC} \right|
 \end{array}
 = \sum_{L=-1}^2
 \begin{array}{c}
 \begin{array}{ccc}
 o_{iA} & & o_{iA} \\
 & \swarrow \quad \searrow & \\
 & \rho_L & \\
 & \swarrow \quad \searrow & \\
 o_{jB} & & o_{jB}
 \end{array}
 \end{array}
 \begin{array}{c}
 \left| o_{LD} \right\rangle \left\langle o_{LD} \right|
 \end{array}
 (F_{\rho_{i_4}}^{\rho_{i_1}, \rho_{i_2}, \rho_{i_3}})_{\rho_K, \rho_L}, \quad (B.3)$$

$$\sum_{C=-1}^2 |c(o_{iA}, o_{jB}, o_{KC})|^2 = \sum_{L=-1}^2 (F_{\rho_i}^{\rho_i, \rho_j, \rho_j})_{\rho_K, \rho_L} \sum_D c(o_{iA}, o_{iA}, o_{LD}) c(o_{jB}, o_{jB}, o_{LD}).$$

- Setting $K = -1$ gives

$$\begin{aligned}
 \sum_C c(o_{iA}, o_{jB}, o_{-1,C})^2 &= \zeta^{-1} \sum_D c(o_{iA}, o_{iA}, o_{-1,D}) c(o_{jB}, o_{jB}, o_{-1,D}) \\
 &\quad + \zeta^{-1} \sum_{\ell=0}^2 \sum_D c(o_{iA}, o_{iA}, o_{\ell D}) c(o_{jB}, o_{jB}, o_{\ell D}), \quad (B.4)
 \end{aligned}$$

where we have used the explicit values of F -symbols given in (A.5).

- If we sum (B.3) over $K = k = 0, 1, 2$ (but not $K = -1$), and use the explicit values of F -symbols given in (A.5), then we obtain¹⁴

$$\begin{aligned}
 \sum_{k=0}^2 \sum_C |c(o_{iA}, o_{jB}, o_{kC})|^2 &= 3 \sum_D c(o_{iA}, o_{iA}, o_{-1,D}) c(o_{jB}, o_{jB}, o_{-1,D}) \\
 &\quad - \zeta^{-1} \sum_{\ell=0}^2 \sum_D c(o_{iA}, o_{iA}, o_{\ell D}) c(o_{jB}, o_{jB}, o_{\ell D}). \quad (B.6)
 \end{aligned}$$

¹⁴We used

$$\sum_{k=0}^2 (F_{\rho_i}^{\rho_i, \rho_j, \rho_j})_{\rho_k, \mathcal{I}} = 3, \quad \sum_{k=0}^2 (F_{\rho_i}^{\rho_i, \rho_j, \rho_j})_{\rho_k, \rho_\ell} = x + y_+ + y_- = -\zeta^{-1} \quad \forall \ell. \quad (B.5)$$

- Let us set $i = j$ and $A \neq B$. Using the explicit values of κ_{AB}^i and $\lambda_{AB;a}^i$ in (6.8) and (6.35) to evaluate the contributions from local operators,

$$\sum_C c(o_{iA}, o_{iB}, o_{-1,C})^2 = \delta_{AB} + (\kappa_{AB}^i)^2 + 2 \sum_a \lambda_{AB;a}^i \bar{\lambda}_{AB;a}^i = \begin{cases} 3\sigma_{111}^2 & A = B, \\ 0 & A \neq B, \end{cases} \quad (\text{B.7})$$

$$\begin{aligned} & \sum_D c(o_{iA}, o_{iA}, o_{-1,D}) c(o_{jB}, o_{jB}, o_{-1,D}) \\ &= 1 + \kappa_{AA}^i \kappa_{BB}^j + \sum_a (\lambda_{AA;a}^i \bar{\lambda}_{BB;a}^j + \bar{\lambda}_{AA;a}^i \lambda_{BB;a}^j) = \begin{cases} 3\sigma_{111}^2 & A - i = B - j, \\ 0 & A - i \neq B - j, \end{cases} \end{aligned} \quad (\text{B.8})$$

where

$$\sigma_{111} = \sqrt{1 + \zeta^{-2}}, \quad (\text{B.9})$$

the preceding two equations (B.4) and (B.6) become

$$\begin{aligned} 0 &= \sum_{\ell=0}^2 \sum_D c(o_{iA}, o_{iA}, o_{\ell D}) c(o_{iB}, o_{iB}, o_{\ell D}), \\ \sum_{k=0}^2 \sum_C c(o_{iA}, o_{iB}, o_{kC})^2 &= -\zeta^{-1} \sum_{\ell=0}^2 \sum_D c(o_{iA}, o_{iA}, o_{\ell D}) c(o_{iB}, o_{iB}, o_{\ell D}). \end{aligned} \quad (\text{B.10})$$

It follows that

$$\sum_{k=0}^2 \sum_C c(o_{iA}, o_{iB}, o_{kC})^2 = 0, \quad (\text{B.11})$$

and we arrive at a selection rule:

$$c(o_{iA}, o_{iB}, o_{kC}) = 0 \quad \forall i, k, C \quad \text{if } A \neq B. \quad (\text{B.12})$$

Four identical external defect operators o_{iA}

In this case, the defect crossing equation (B.2) becomes

$$\begin{aligned} & \begin{array}{c} o_{iA} \quad o_{iA} \\ \diagdown \quad \diagup \\ \rho_J \\ \diagup \quad \diagdown \\ o_{iA} \quad o_{iA} \end{array} \quad |o_{JB}\rangle \langle o_{JB}| \\ &= \sum_{K=-1}^2 \begin{array}{c} o_{iA} \quad o_{iA} \\ \diagdown \quad \diagup \\ \rho_K \\ \diagup \quad \diagdown \\ o_{iA} \quad o_{iA} \end{array} \quad |o_{KC}\rangle \langle o_{KC}| \quad (F_{\rho_{i4}}^{\rho_{i1}, \rho_{i2}, \rho_{i3}})_{\rho_J, \rho_K}, \end{aligned} \quad (\text{B.13})$$

$$\sum_{K=-1}^2 [\delta_{JK} \delta_{BC} - (F_{\rho_i}^{\rho_i, \rho_i, \rho_i})_{\rho_J, \rho_K}] \sum_C c(o_{iA}, o_{iA}, o_{KC})^2 = 0,$$

which says that the four-dimensional vector $\sum_C c(o_{iA}, o_{iA}, o_{KC})^2$ is a non-negative four-dimensional eigenvector of the matrix $F_{\rho_i}^{\rho_i, \rho_i, \rho_i}$ with eigenvalue one. Using the explicit values of the F -symbols given in (A.5), we determine that such an eigenvector is in the two-dimensional subspace spanned by

$$(1 + \zeta, 1, 1, 1), \quad \begin{cases} (0, 1, \psi_+, \psi_-) & i = 0, \\ (0, \psi_-, 1, \psi_+) & i = 1, \\ (0, \psi_+, \psi_-, 1) & i = 2, \end{cases} \quad (\text{B.14})$$

where

$$\psi_{\pm} = \frac{-1 \pm \sqrt{7 + 2\sqrt{13}}}{2}. \quad (\text{B.15})$$

Equivalently, $\sum_C c(o_{iA}, o_{iA}, o_{KC})^2$ is orthogonal to

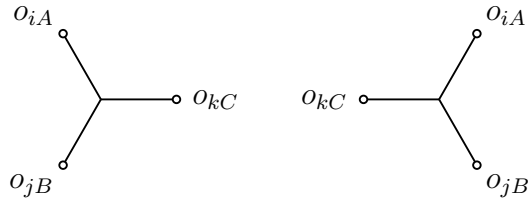
$$(-1 + \zeta^{-1}, 1, 1, 1), \quad \begin{cases} (0, 1, \eta_-, \eta_+) & i = 0, \\ (0, \eta_+, 1, \eta_-) & i = 1, \\ (0, \eta_-, \eta_+, 1) & i = 2, \end{cases} \quad (\text{B.16})$$

where

$$\eta_{\pm} = \frac{-1 \pm \sqrt{3(2\sqrt{13} - 7)}}{2}. \quad (\text{B.17})$$

Two pairs of identical external operators o_{iA} and o_{jB} in the 1212 configuration

We first recall from (2.17) and (2.18) that the defect three-point coefficients are invariant under cyclic permutations and complex conjugate under reflections. Thus the trivalent vertices



are complex conjugates of each other, and can differ by a phase $2\phi_{ijk}$. The corresponding three-point functions of defect operators can be parameterized as

$$\begin{aligned} c(o_{iA}, o_{jB}, o_{kC}) &= |c(o_{iA}, o_{jB}, o_{kC})| e^{i\phi_{ijk}}, \\ c(o_{jB}, o_{iA}, o_{kC}) &= |c(o_{iA}, o_{jB}, o_{kC})| e^{-i\phi_{ijk}}. \end{aligned} \quad (\text{B.18})$$

Since the phase is trivial when two indices coincide, $\phi_{iij} = 0$, the only nontrivial phase is $\phi \equiv \phi_{012}$. Let us define

$$\Phi_{ij} = \begin{pmatrix} e^{i\phi_{ij0}} & & \\ & e^{i\phi_{ij1}} & \\ & & e^{i\phi_{ij2}} \end{pmatrix}, \quad (\text{B.19})$$

which is the identity matrix if $i = j$, and has a single possibly nontrivial entry if $i \neq j$.

In the current case, the crossing equation (B.2) becomes

$$= \sum_{\ell=0}^2 \dots (F^{\rho_{i_1}, \rho_{i_2}, \rho_{i_3}}_{\rho_{i_4}})_{\rho_k, \rho_\ell}, \quad (\text{B.20})$$

$$\sum_C c(o_{iA}, o_{jB}, o_{kC})^2 = \sum_\ell (F^{\rho_i, \rho_j, \rho_i}_{\rho_j})_{\rho_k, \rho_\ell} \sum_D c(o_{jB}, o_{iA}, o_{lD})^2.$$

By factoring out the phase using (B.18), the above crossing equation can be reexpressed as

$$\sum_C |c(o_{iA}, o_{jB}, o_{kC})|^2 = \sum_{\ell=0}^2 (\bar{\Phi}_{ij}^2 F^{\rho_i, \rho_j, \rho_i}_{\rho_j} \bar{\Phi}_{ij}^2)_{k\ell} \sum_D |c(o_{iA}, o_{jB}, o_{lD})|^2. \quad (\text{B.21})$$

The three-dimensional vector $\sum_C |c(o_{iA}, o_{jB}, o_{kC})|^2$, if nonzero, is a non-negative eigenvector of $\bar{\Phi}_{ij}^2 F^{\rho_i, \rho_j, \rho_i}_{\rho_j} \bar{\Phi}_{ij}^2$ with eigenvalue 1.

- If ϕ is a generic phase, by which we mean $\phi \neq 0, \pi$, then such an eigenvector is unique up to overall normalization, given by

$$\begin{cases} (\psi_+, -\psi_-, 0) & \{i, j\} = \{0, 1\}, \\ (0, \psi_+, -\psi_-) & \{i, j\} = \{1, 2\}, \\ (-\psi_-, 0, \psi_+) & \{i, j\} = \{0, 2\}, \end{cases} \quad (\text{B.22})$$

which in particular implies that

$$c(o_{0A}, o_{1B}, o_{2C}) = 0. \quad (\text{B.23})$$

In other words, the only three-point function that is allowed to have a nontrivial phase vanishes. Then without loss of generality, we can assume $\phi = 0, \pi$.

- If $\phi = 0, \pi$, then the eigenvector is in the two-dimensional subspace that is orthogonal to

$$\begin{cases} (\psi_-, \psi_+, e^{i\phi}) & \{i, j\} = \{0, 1\}, \\ (e^{i\phi}, \psi_-, \psi_+) & \{i, j\} = \{1, 2\}, \\ (\psi_+, e^{i\phi}, \psi_-) & \{i, j\} = \{0, 2\}. \end{cases} \quad (\text{B.24})$$

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